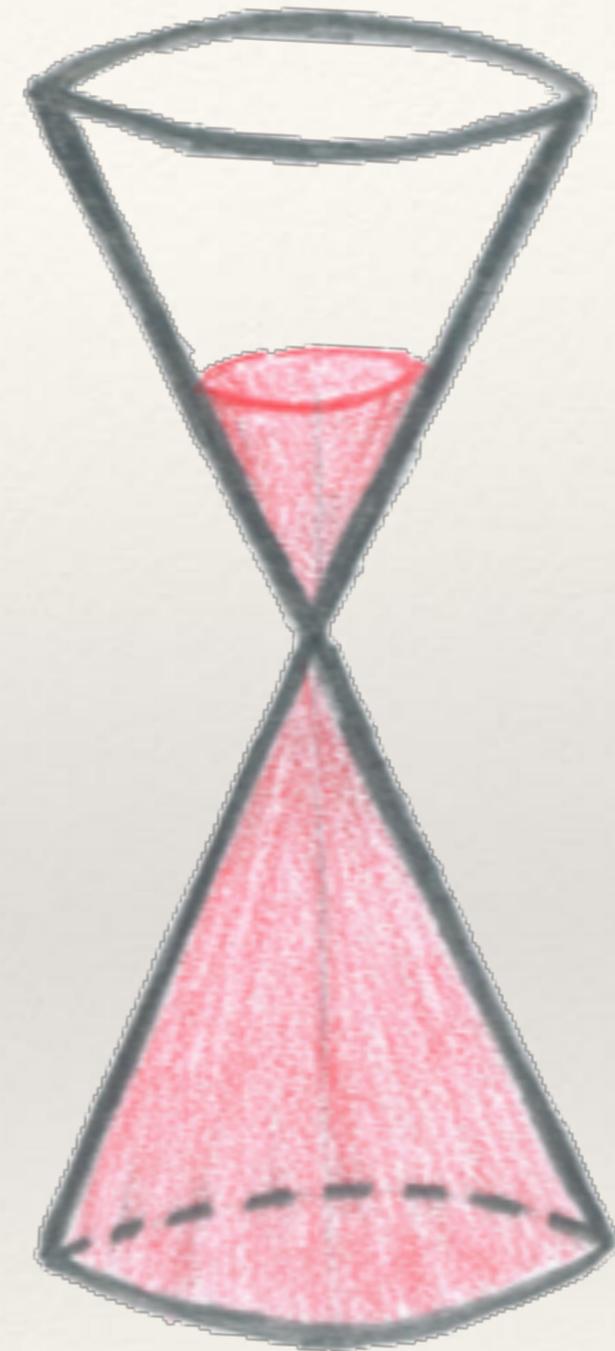


Transport in topological insulators

Jens Hjörleifur Bårðarson

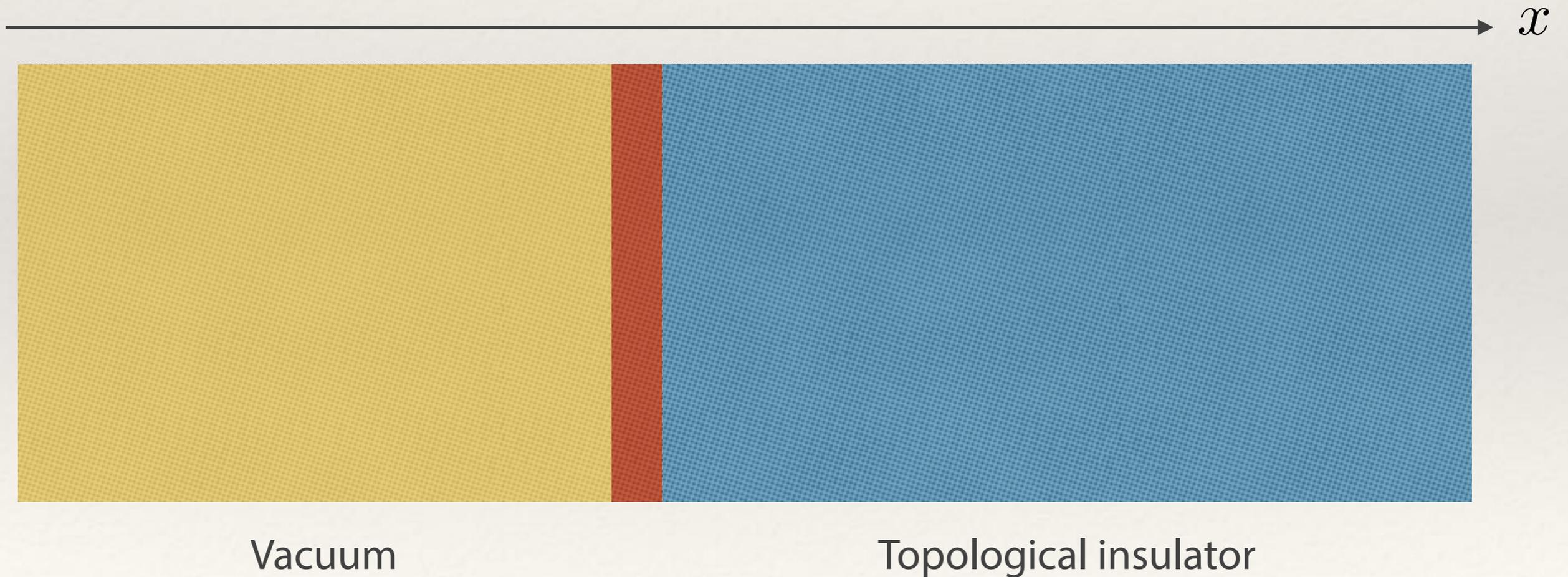
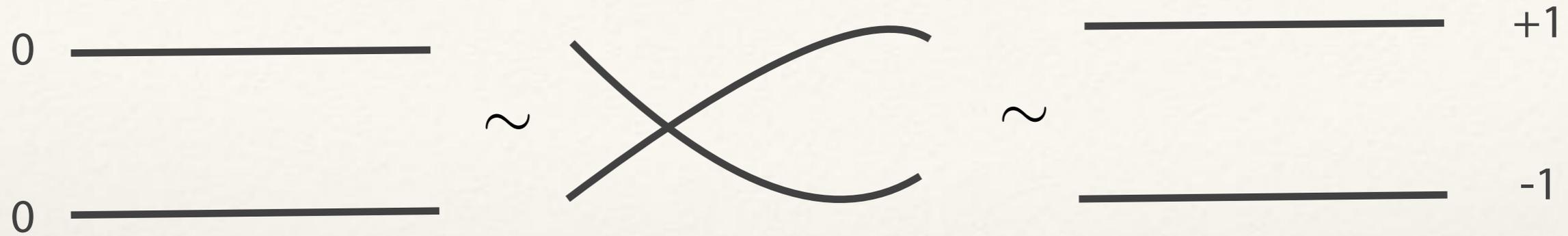
Max Planck Institute PKS, Dresden

KTH Royal Institute of Technology, Stockholm



Brief intro

Topological insulators are bulk insulators with metallic surface



The periodic table of topological insulators

Cartan	T	C	S	H	NL σ M	Name	d=0	d=1	d=2	d=3
A	0	0	0	U(N)	U(2n)/ U(n)xU(n)	Unitary	\mathbb{Z}	0	\mathbb{Z}	0
AIII	0	0	1	U(N+M)/ U(N)xU(M)	U(n)	Chiral Unitary	0	\mathbb{Z}	0	\mathbb{Z}
AI	+1	0	0	U(N)/O(N)	Sp(2n)/ Sp(n)xSp(n)	Orthogonal	\mathbb{Z}	0	0	0
BDI	+1	+1	1	O(N+M)/ O(N)xO(M)	U(2n)/Sp(2n)	Chiral orthogonal	\mathbb{Z}_2	\mathbb{Z}	0	0
D	0	+1	0	SO(2N)	O(2n)/U(n)	BdG	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
DIII	-1	+1	1	SO(2N)/U(N)	O(2n)	BdG	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AII	-1	0	0	U(2N)/Sp(2N)	O(2n)/ O(n)xO(n)	Symplectic	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
CII	-1	-1	1	Sp(N+M)/ Sp(N)xSp(M)	U(2n)/O(2n)	Chiral symplectic	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
C	0	-1	0	Sp(2N)	Sp(2n)/U(n)	BdG	0	0	$2\mathbb{Z}$	0
CI	+1	-1	1	Sp(2N)/U(N)	Sp(2n)	BdG	0	0	0	$2\mathbb{Z}$

The periodic table of topological insulators

Cartan	T	C	S	H	NL σ M	Name	d=0	d=1	d=2	d=3
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AII	-1	0	0	U(2N)/Sp(2N)	O(2n)/ O(n)xO(n)	Symplectic	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
CII	-1	-1	1	Sp(N+M)/ Sp(N)xSp(M)	U(2n)/O(2n)	Chiral symplectic	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
C	0	-1	0	Sp(2N)	Sp(2n)/U(n)	BdG	0	0	$2\mathbb{Z}$	0
CI	+1	-1	1	Sp(2N)/U(N)	Sp(2n)	BdG	0	0	0	$2\mathbb{Z}$

Symmetries — Wigner's theorem

Any symmetry acts as a unitary or antiunitary transformation in Hilbert space

$$U \quad \text{unitary} \quad \langle U\psi | U\phi \rangle = \langle \psi | \phi \rangle$$

$$T \quad \text{antiunitary} \quad \langle T\psi | T\phi \rangle = \langle \psi | \phi \rangle^* \quad (\text{anti-linear!})$$

Can always write $T = UK$ with K complex conjugation

$$T^2 = \pm 1$$



Time reversal symmetry — Examples

spinless fermions (or integral spin):

$$T = K \quad T^2 = K^2 = 1$$

$$T\mathbf{x}T^{-1} = \mathbf{x} \quad \text{note: basis dependent!}$$

$$T\mathbf{p}T^{-1} = K(-i\nabla)K = -\mathbf{p}$$

half-integral spin fermions:

$$T = i\sigma_y K \quad T^2 = (i\sigma_y K)(i\sigma_y K) = -\sigma_y^2 K^2 = -1$$

$$T\mathbf{x}T^{-1} = \mathbf{x}$$

$$T\mathbf{p}T^{-1} = K(-i\nabla)K = -\mathbf{p}$$

$$T\boldsymbol{\sigma}T^{-1} = -\boldsymbol{\sigma}$$

2D topological insulator

Topological insulators in the unitary class (A) — Quantum Hall effect

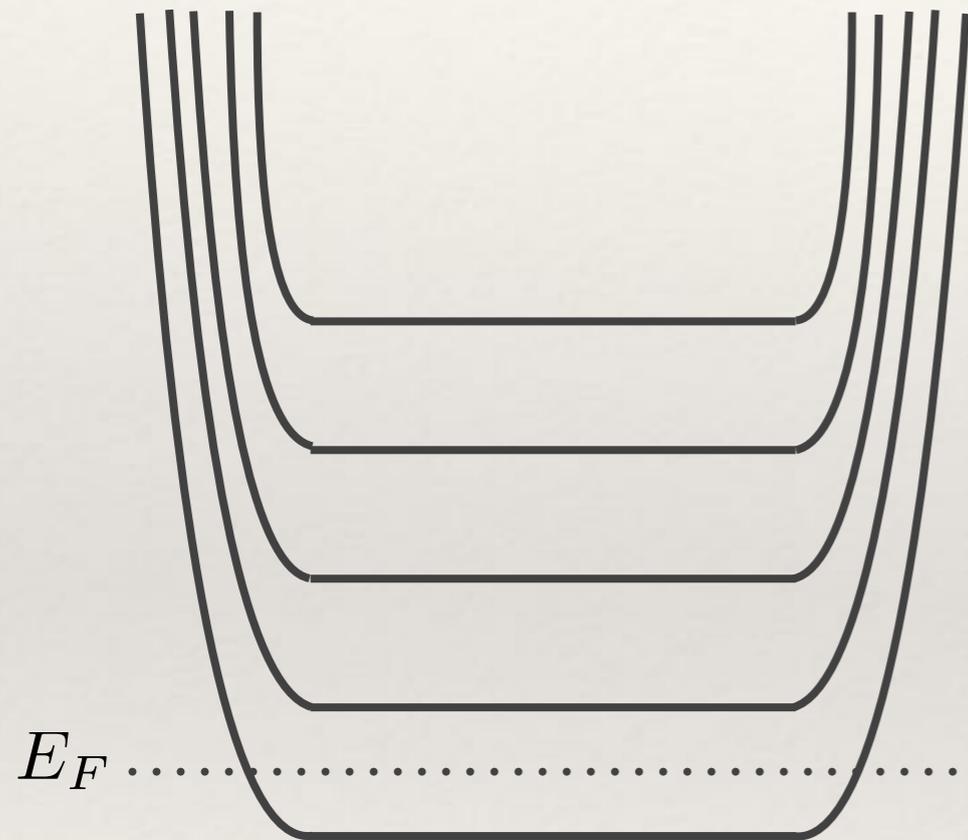
$$H = \frac{(\mathbf{p} - e\mathbf{A})^2}{2m}$$

$$T = K$$

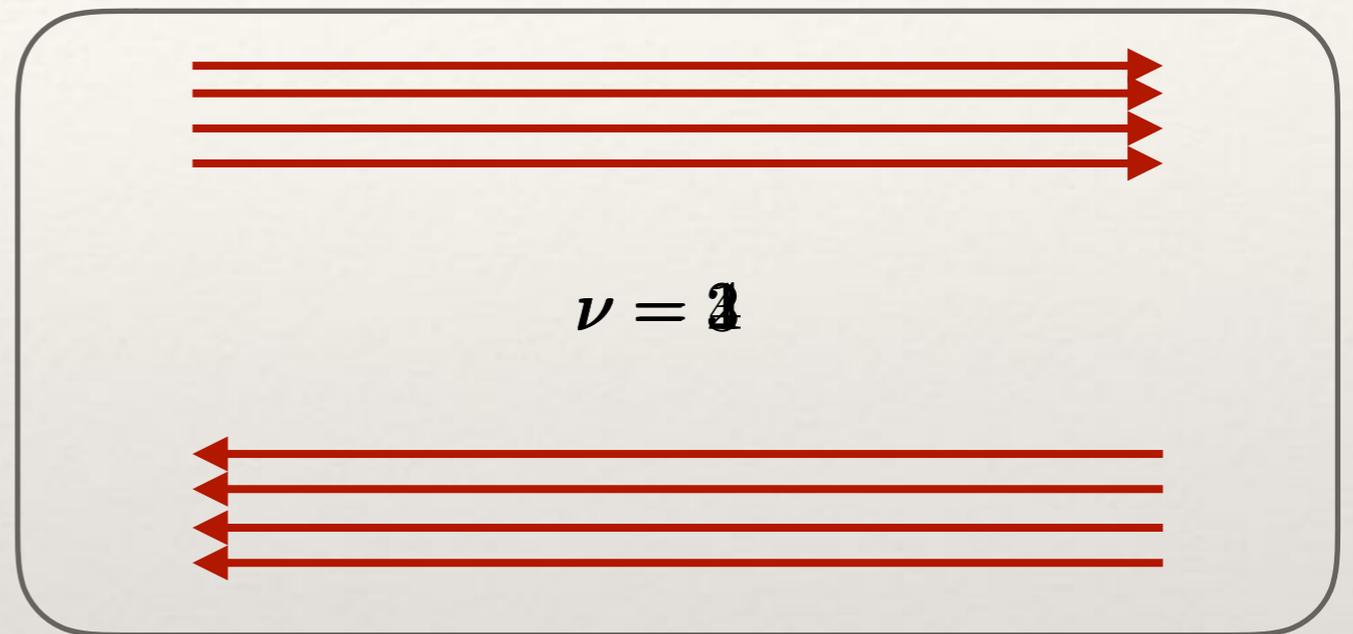
$$T\mathbf{p}T^{-1} = -\mathbf{p}$$

$$THT^{-1} = \frac{(-\mathbf{p} - e\mathbf{A})^2}{2m} \neq H$$

$$\mathbf{B} = \nabla \times \mathbf{A}$$



Landau levels



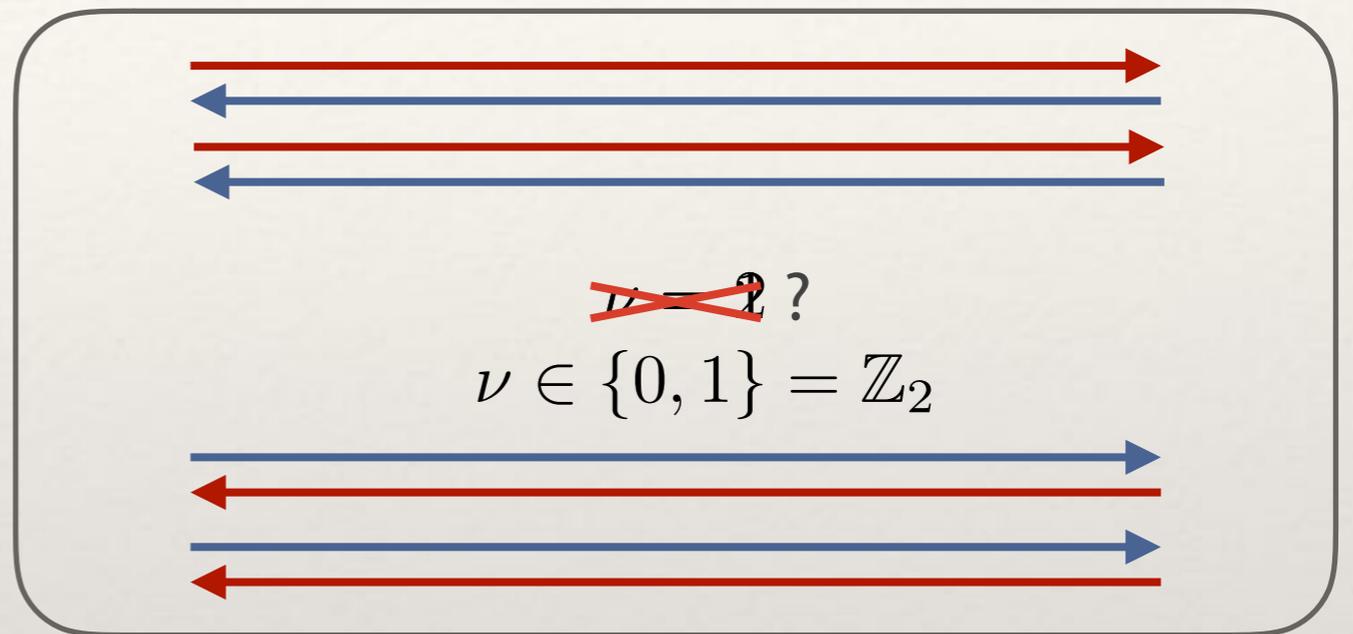
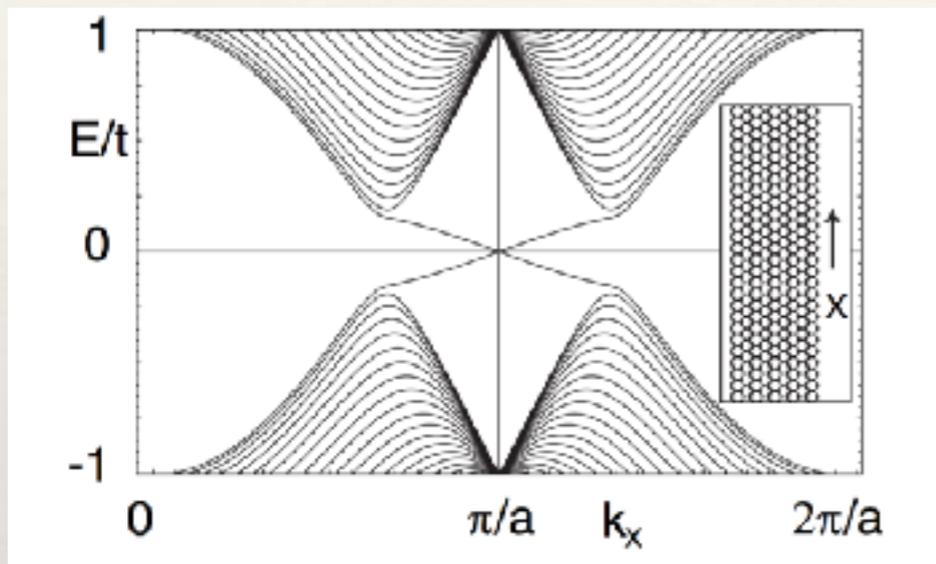
$$\sigma_{xy} = \frac{e^2}{h} \nu \quad \nu \in \mathbb{Z}$$

surface chiral — no backscattering

Topological insulators in the symplectic class (All) — Quantum spin Hall effect

$$H = v_F(p_x\sigma_x\tau_z + p_y\sigma_y) + \Delta_{SO}\sigma_z\tau_zs_z + \lambda_R(\sigma_x\tau_z\sigma_y - \sigma_y\tau_z\sigma_x)$$

$$T = i\tau_xs_yK \quad T^2 = -1 \quad THT^{-1} = H$$



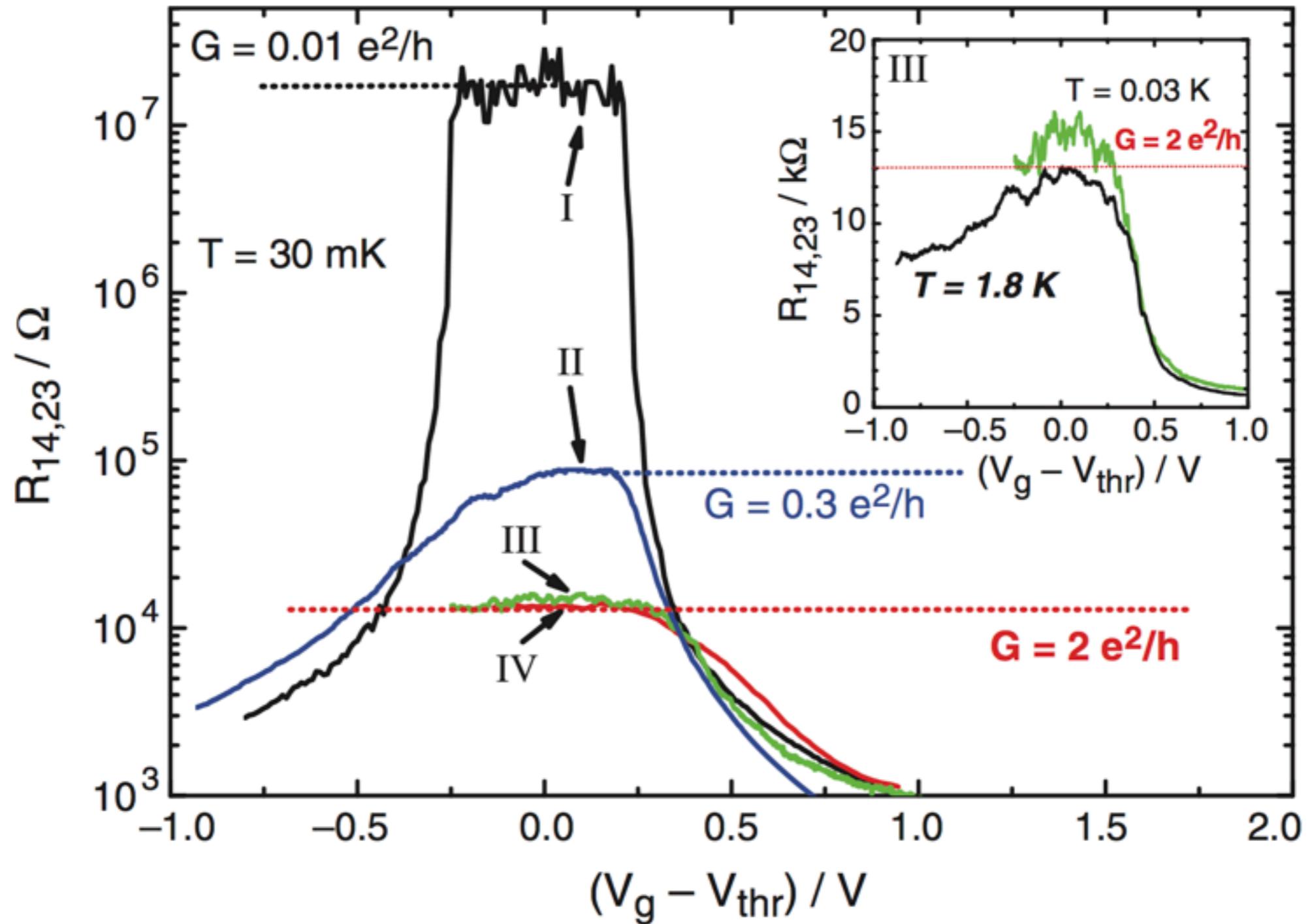
$$[T, V] = 0$$

$$\begin{aligned} \langle \psi | V | T\psi \rangle &= \langle T\psi | TV | T\psi \rangle^* = \langle T\psi | VT | T\psi \rangle^* = -\langle T\psi | V | \psi \rangle^* = -\langle \psi | V | T\psi \rangle \\ &= 0 \end{aligned}$$

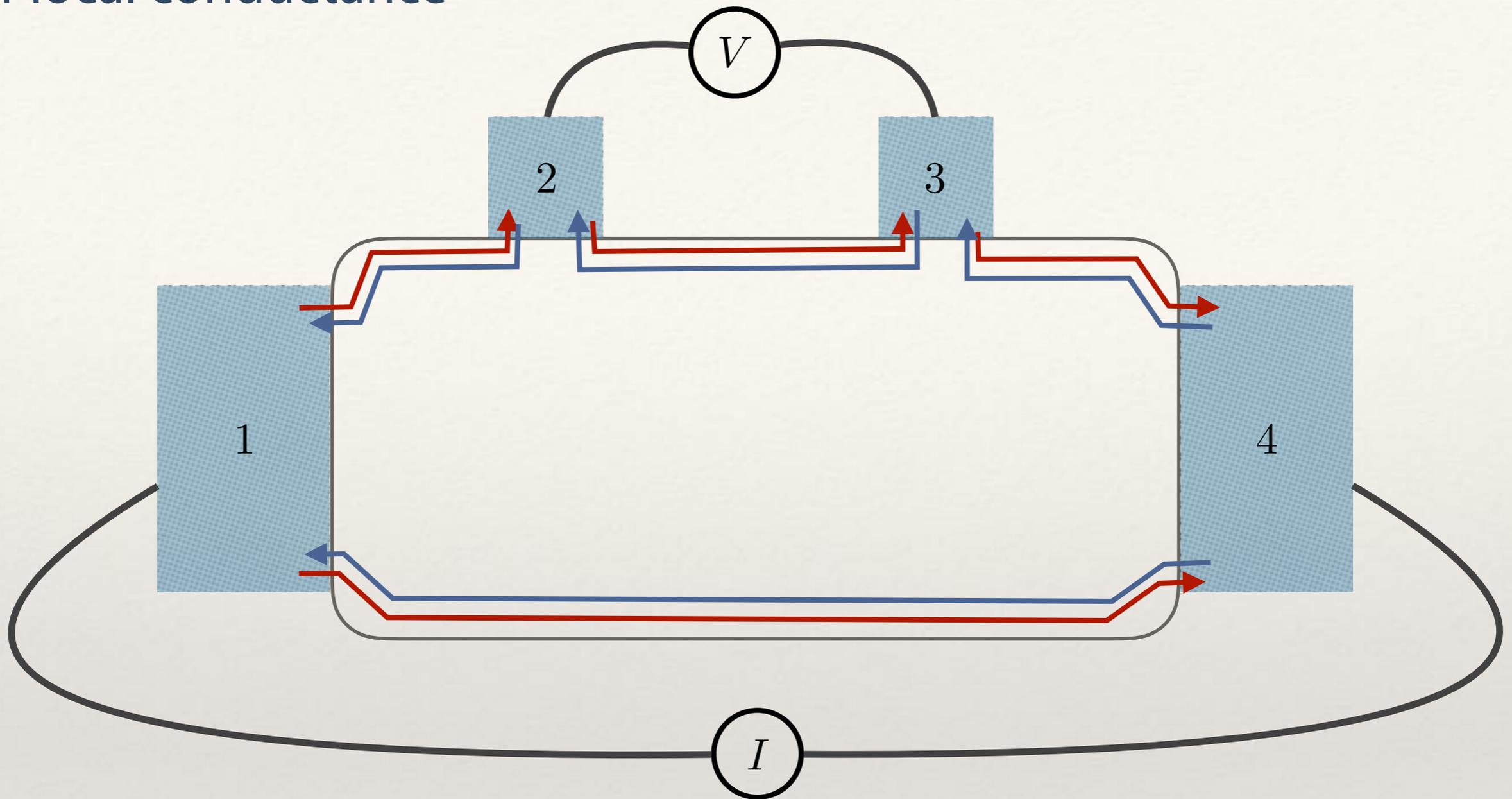
Absence of backscattering

$$G = \frac{2e^2}{h}$$

Quantum Spin Hall effect in HgTe quantum wells



Non-local conductance



$$I_i = \frac{e^2}{h} \sum_j T_{ij} (V_i - V_j)$$

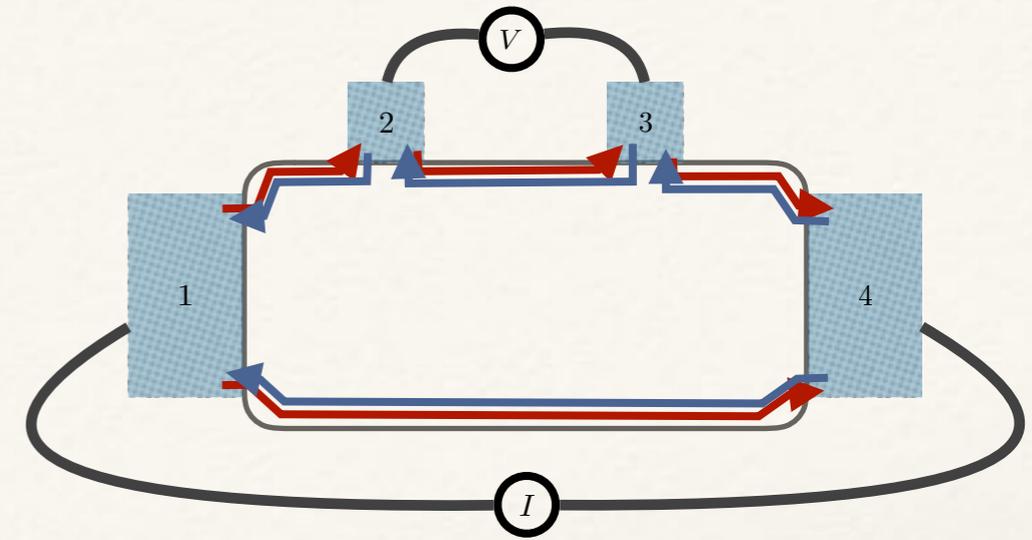
$$I_1 = I = -I_4$$

$$I_2 = I_3 = 0$$

$$V_2 = 0$$

$$V_3 = V$$

Non-local conductance



$$I = I_1 = (V_1 - V_2) + (V_1 - V_4) = 2V_1 - V_4$$

$$0 = I_2 = (V_2 - V_1) + (V_2 - V_3) = -V_1 - V$$

$$0 = I_3 = (V_3 - V_2) + (V_3 - V_4) = 2V - V_4$$

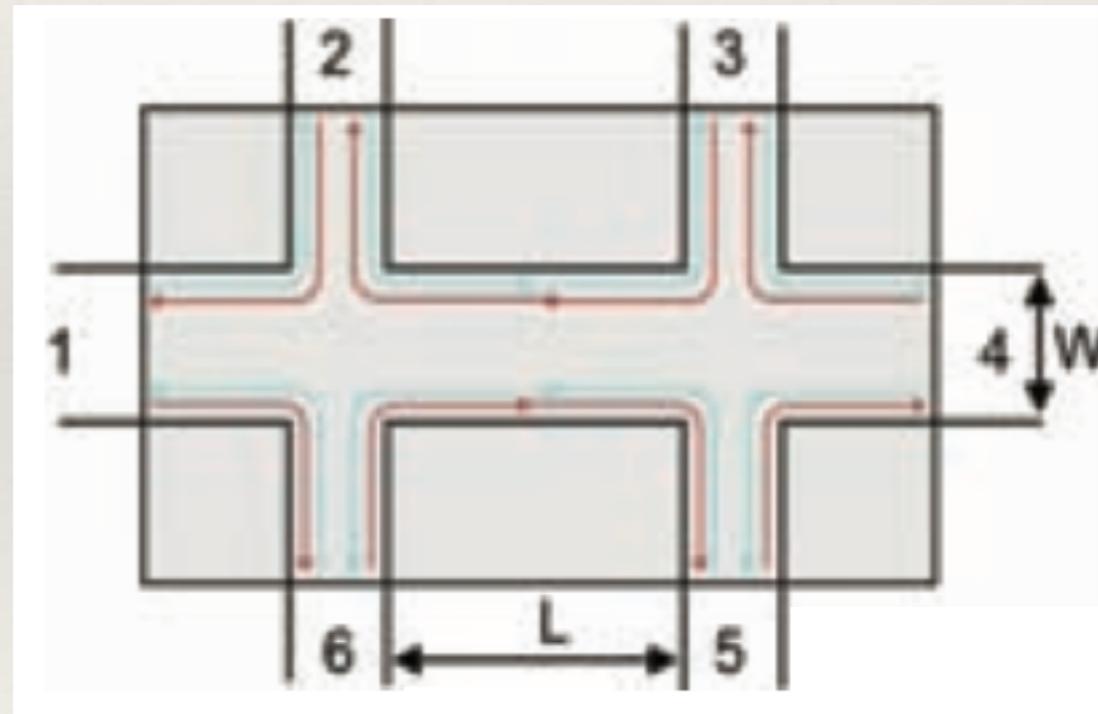
$$-I = I_4 = (V_4 - V_3) + (V_4 - V_1) = 2V_4 - V - V_1$$

$$\Rightarrow V_1 = -V$$

$$V_4 = 2V$$

$$\Rightarrow I = -4V$$

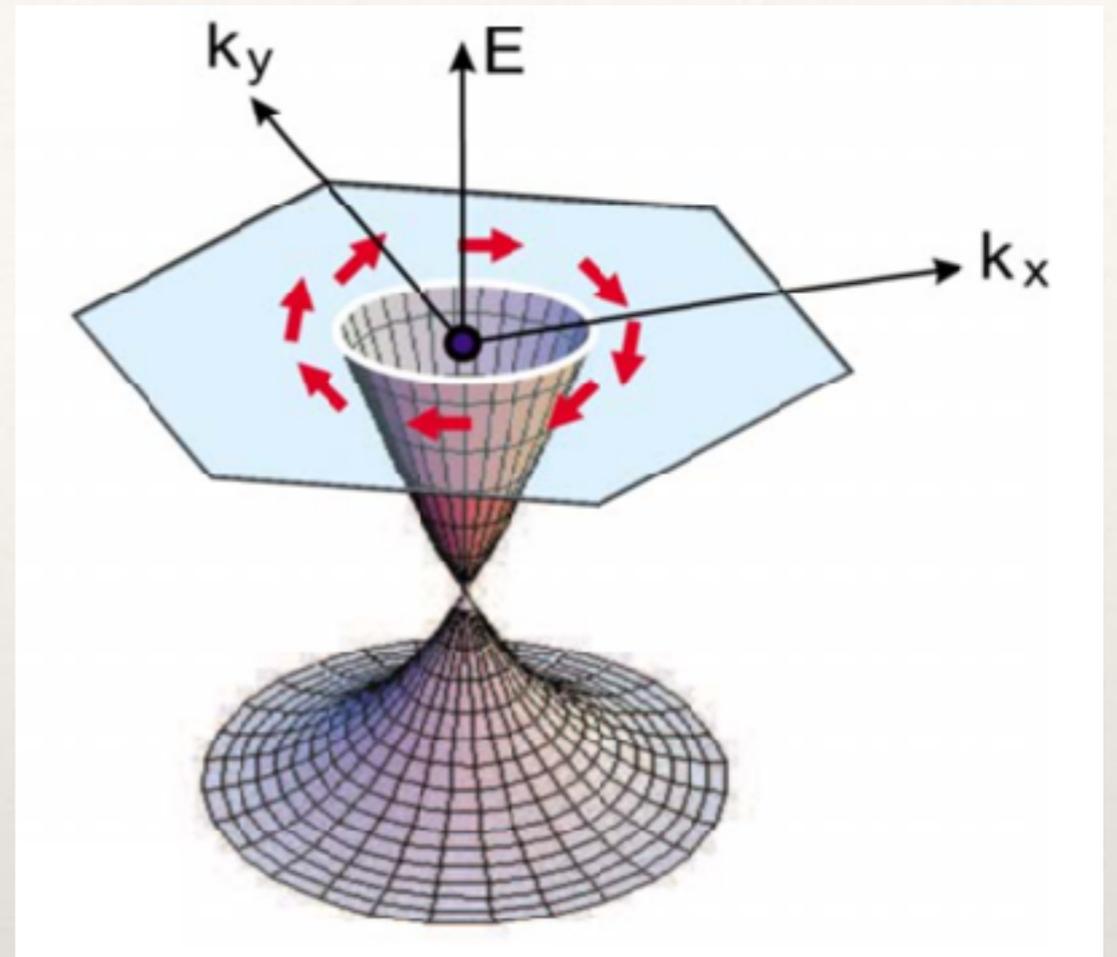
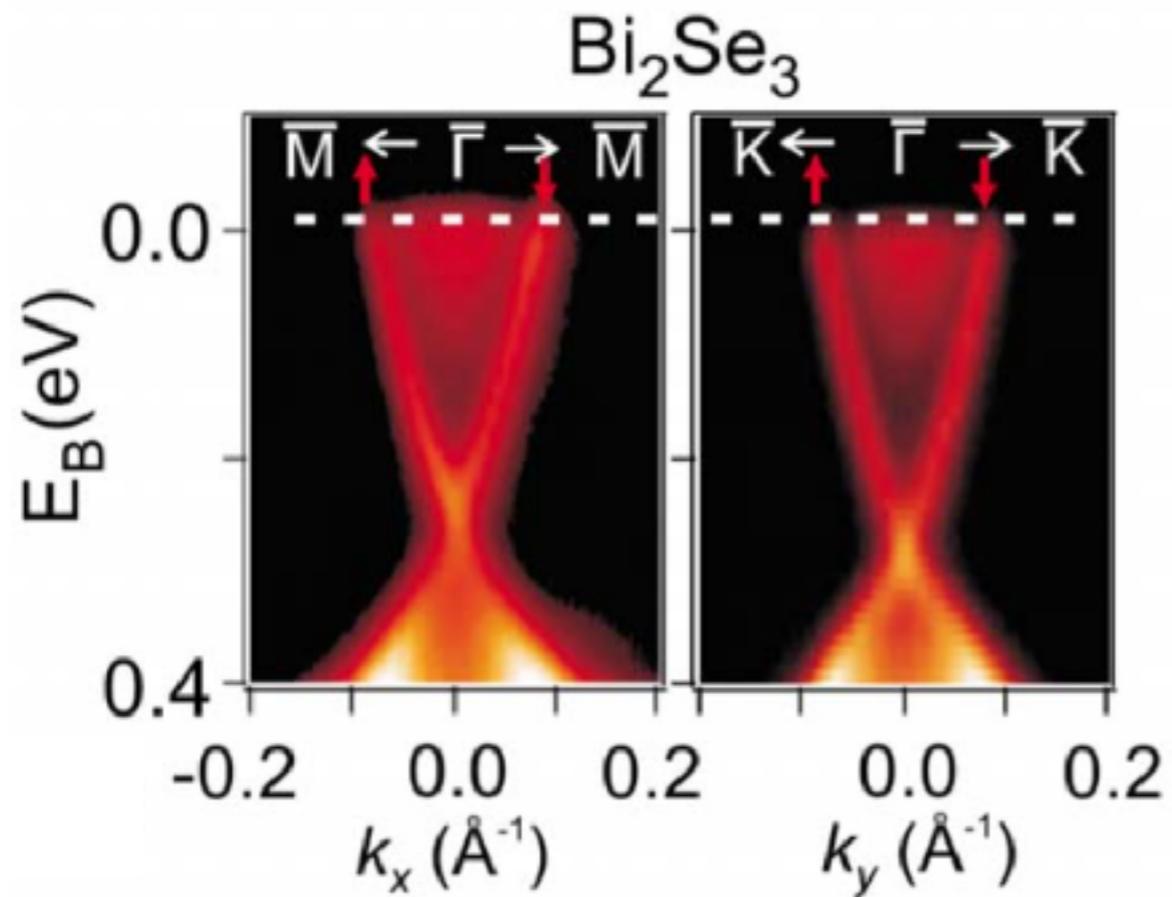
$$\Rightarrow G = \frac{4e^2}{h}$$



Ex. Find the conductance in the H-bar

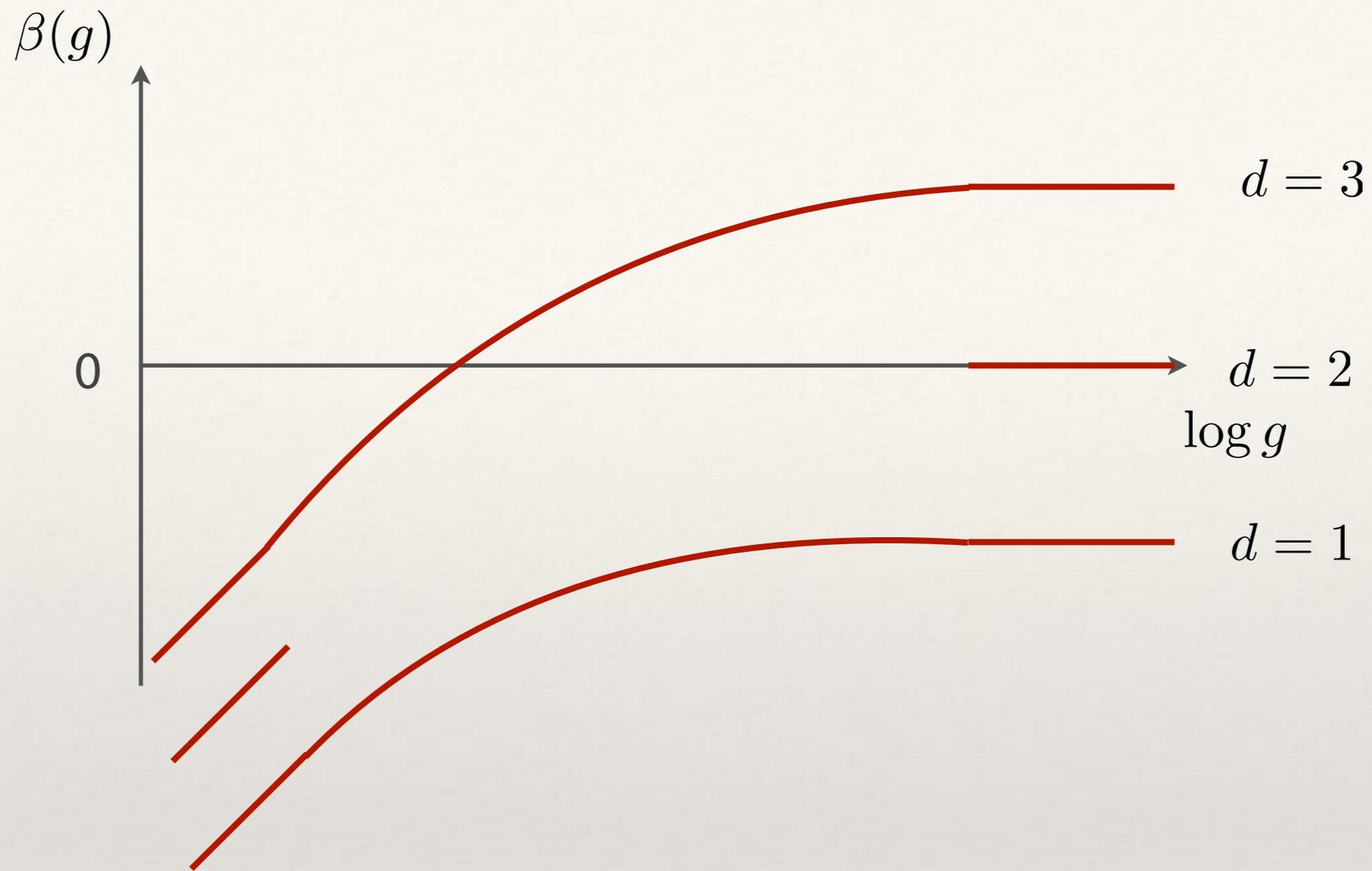
3D topological insulator

3D topological insulators



$$H_{\text{surface}} = \mathbf{p} \cdot \boldsymbol{\sigma}$$

Scaling theory of localization



Drude

$$g = \sigma L^{d-2}$$

$$\beta(g) = \frac{\partial \log g}{\partial \log L} = (d - 2)$$

Anderson

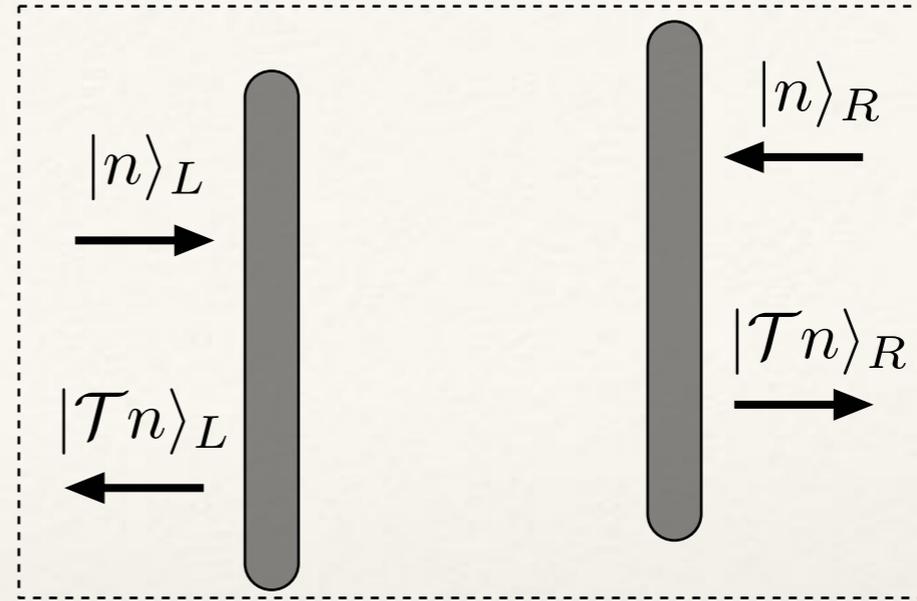
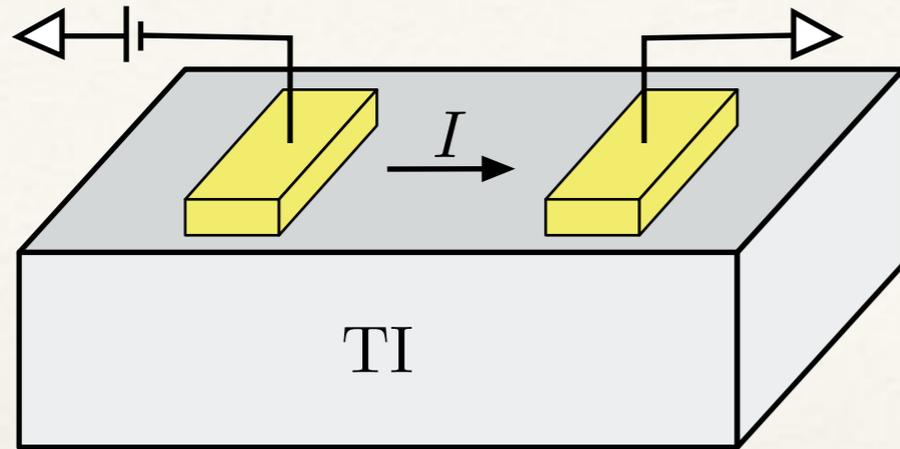
$$g = g_0 e^{-L/\xi}$$

$$\beta(g) = \log(g/g_0)$$

Scaling theory of localization



Conductance as transmission (Landauer)



$$|\psi\rangle = \begin{cases} \sum_n c_{n,L} |n\rangle_L + d_{n,L} |\mathcal{T}n\rangle_L, & x \leq 0, \\ \sum_n c_{n,R} |n\rangle_R + d_{n,R} |\mathcal{T}n\rangle_R, & x \geq L, \\ |\Psi\rangle, & 0 \leq x \leq L. \end{cases}$$

$$\begin{pmatrix} d_L \\ d_R \end{pmatrix} = S \begin{pmatrix} c_L \\ c_R \end{pmatrix}$$

$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

$$G = \frac{e^2}{h} \text{Tr } t^\dagger t = \frac{e^2}{h} \text{Tr } (1 - r^\dagger r)$$

Weak (anti) localization

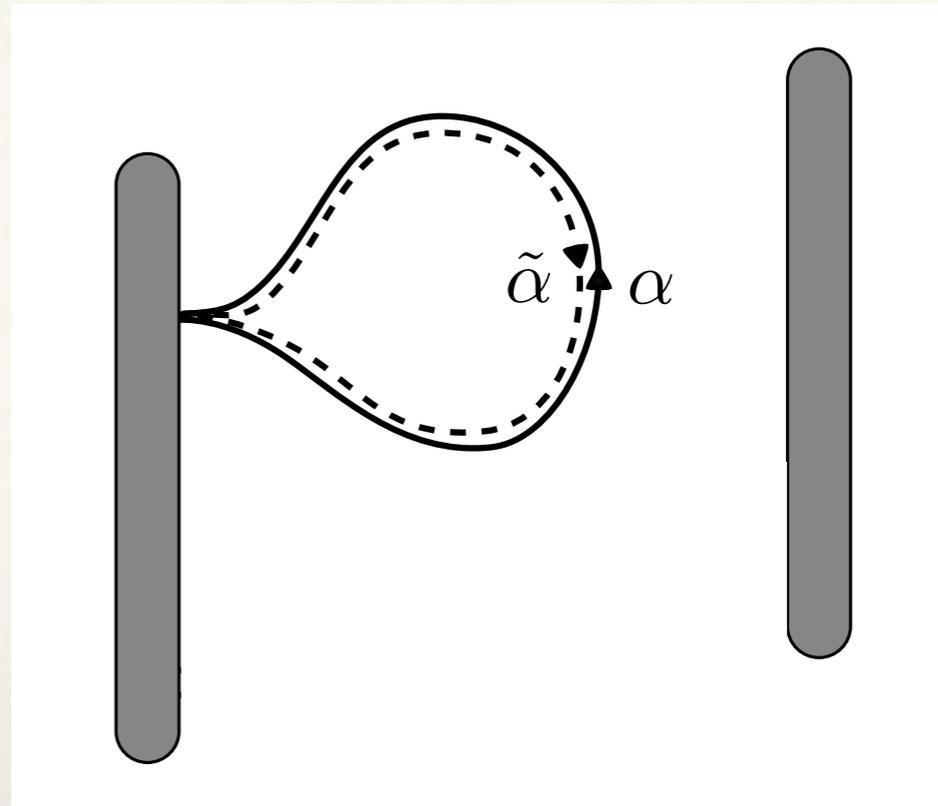
$$r_{nm} = \sum_{\alpha} A_{\alpha} e^{iS_{\alpha}/\hbar}$$

$$g = N - \sum_{\alpha, \alpha'} A_{\alpha} A_{\alpha'}^* e^{i(S_{\alpha} - S_{\alpha'})/\hbar}$$

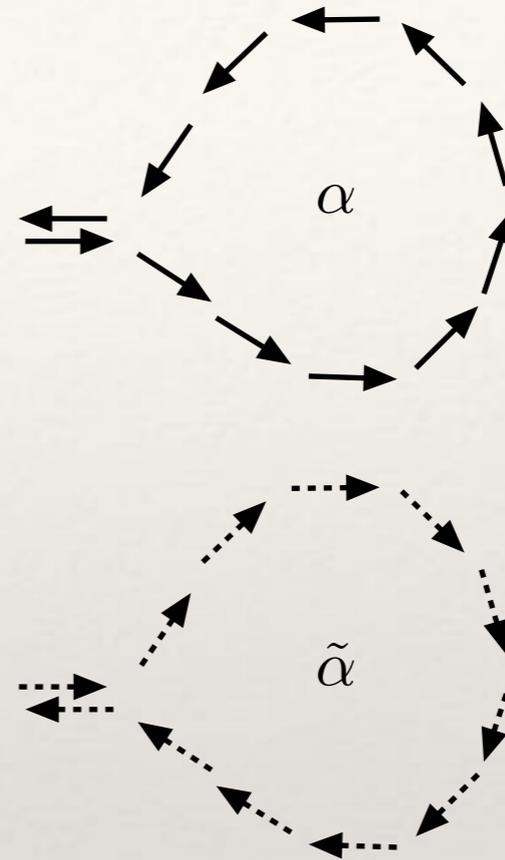
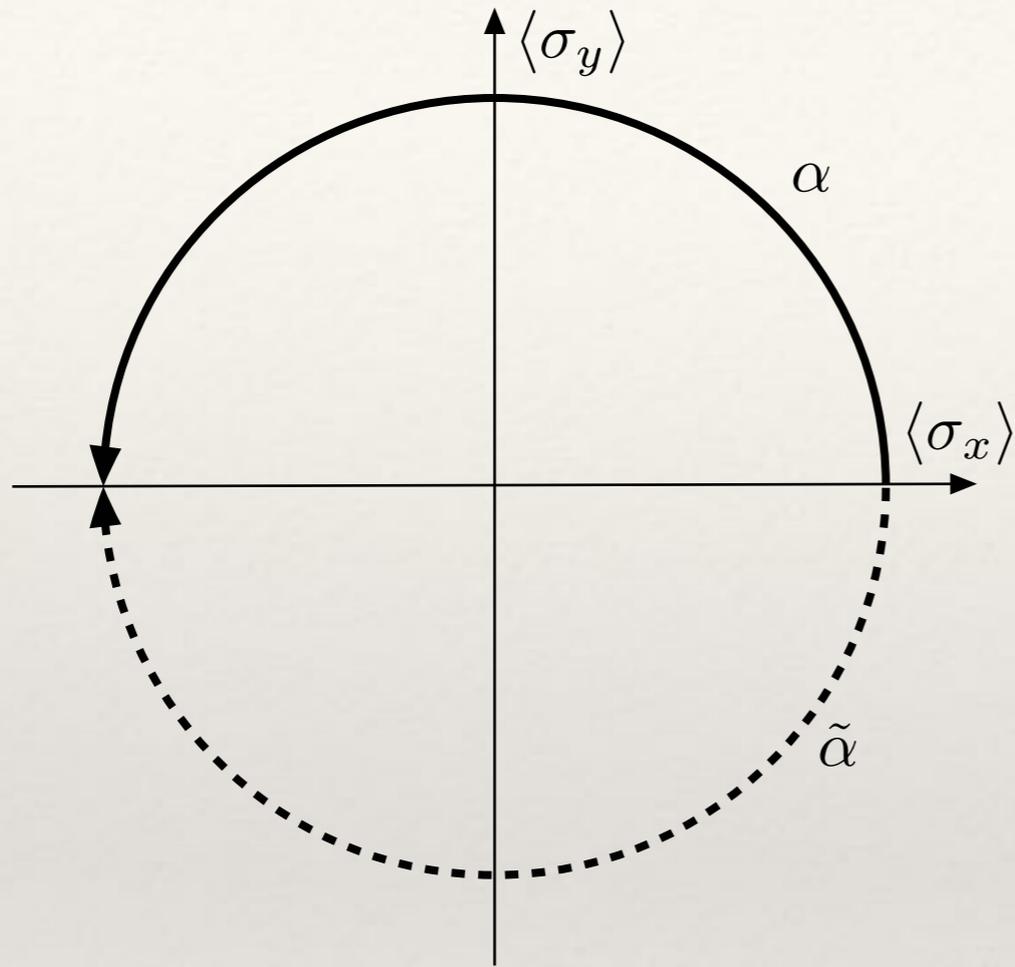
$$g_{\text{cl}} = N - \sum_{\alpha} |A_{\alpha}|^2$$

$$\tilde{\alpha} = \mathcal{T}\alpha \quad \Rightarrow \quad S_{\tilde{\alpha}} = S_{\alpha}$$

$$|A_{\alpha}|^2 + |A_{\tilde{\alpha}}|^2 + 2\Re(A_{\alpha} A_{\tilde{\alpha}}^*) = ?$$



Spin-momentum locking and Berry's phase



Weak (anti) localization

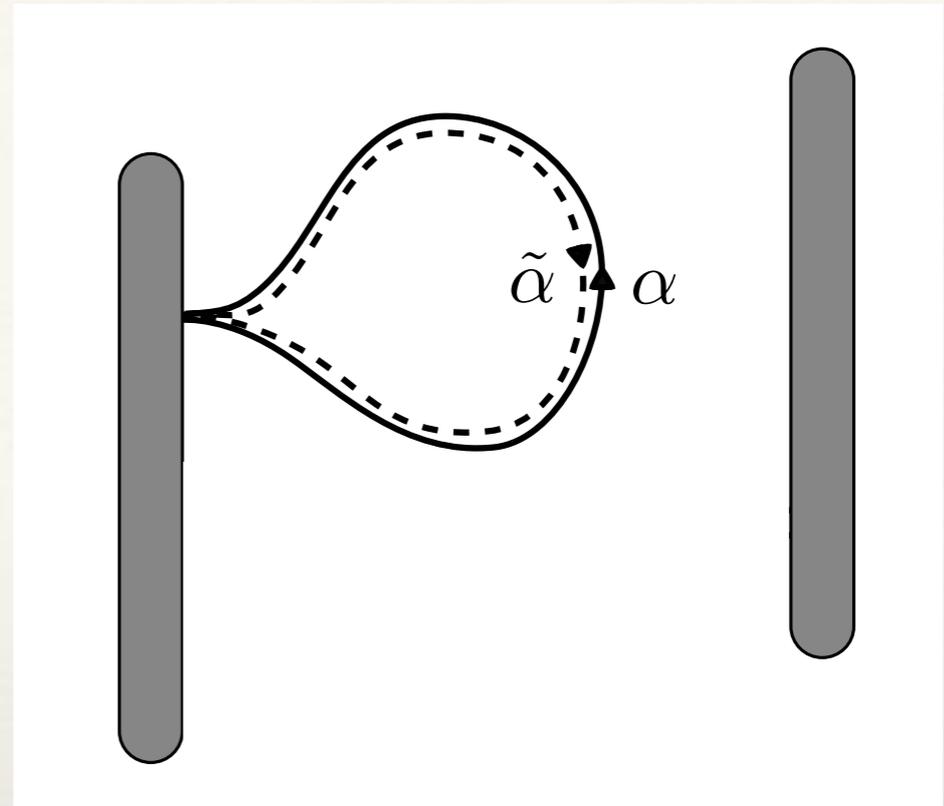
$$r_{nm} = \sum_{\alpha} A_{\alpha} e^{iS_{\alpha}/\hbar}$$

$$g = N - \sum_{\alpha, \alpha'} A_{\alpha} A_{\alpha'}^* e^{i(S_{\alpha} - S_{\alpha'})/\hbar}$$

$$g_{\text{cl}} = N - \sum_{\alpha} |A_{\alpha}|^2$$

$$\tilde{\alpha} = \mathcal{T}\alpha \quad \Rightarrow \quad S_{\tilde{\alpha}} = S_{\alpha}$$

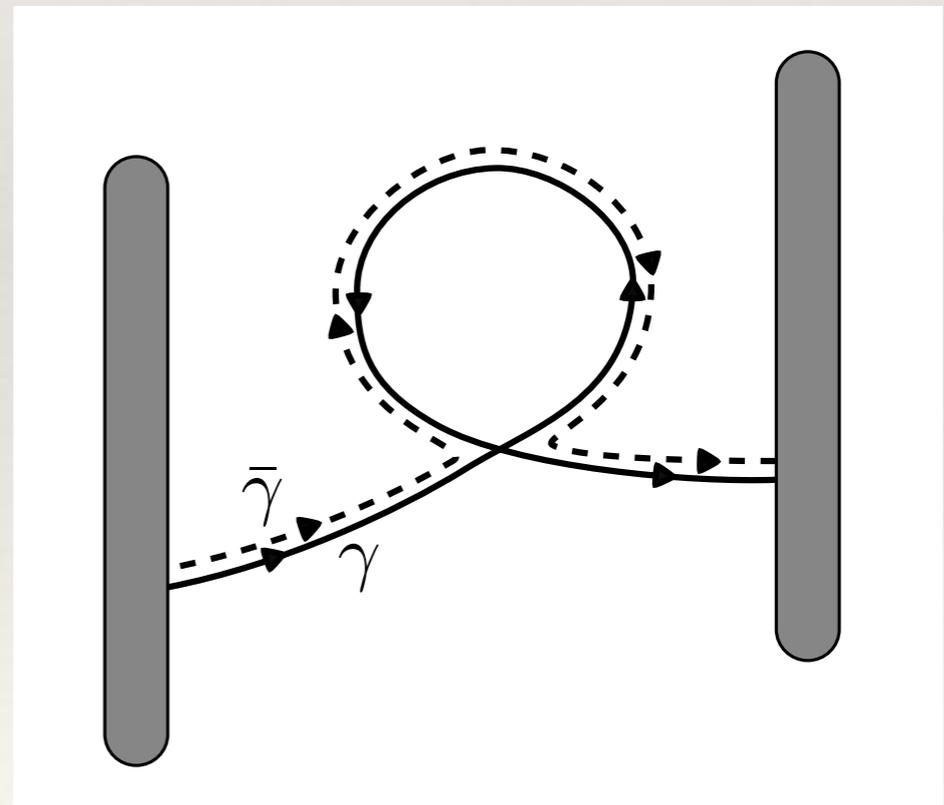
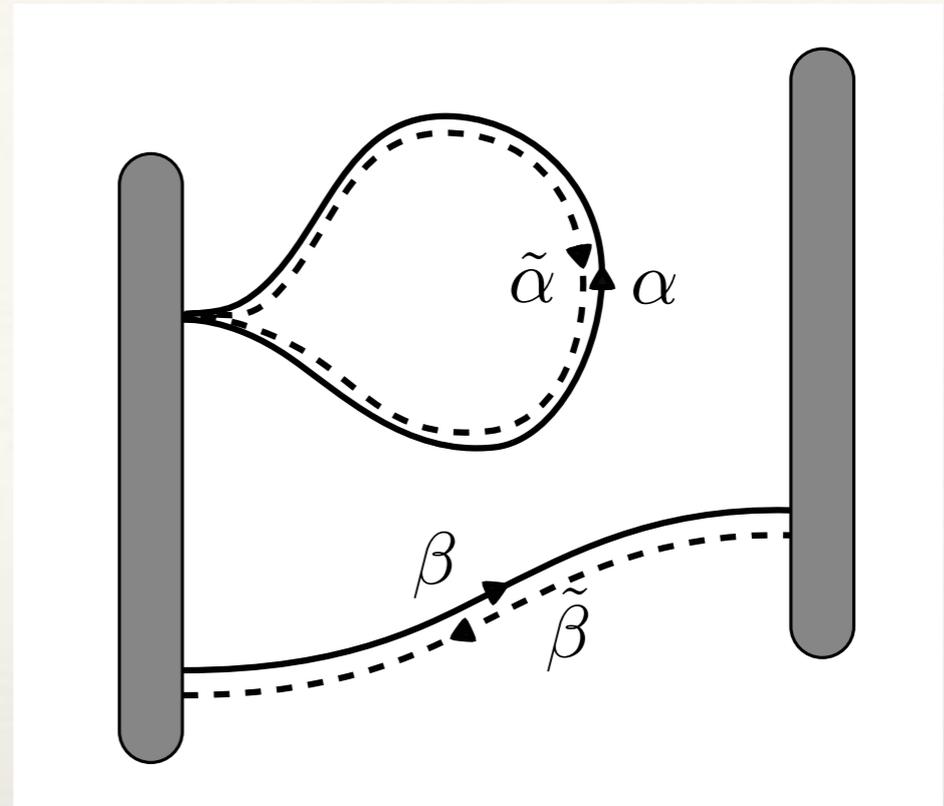
$$|A_{\alpha}|^2 + |A_{\tilde{\alpha}}|^2 + 2\Re(A_{\alpha} A_{\tilde{\alpha}}^*) = \begin{cases} 4|A_{\alpha}|^2, & T^2 = 1 \\ 0, & T^2 = -1 \end{cases}$$



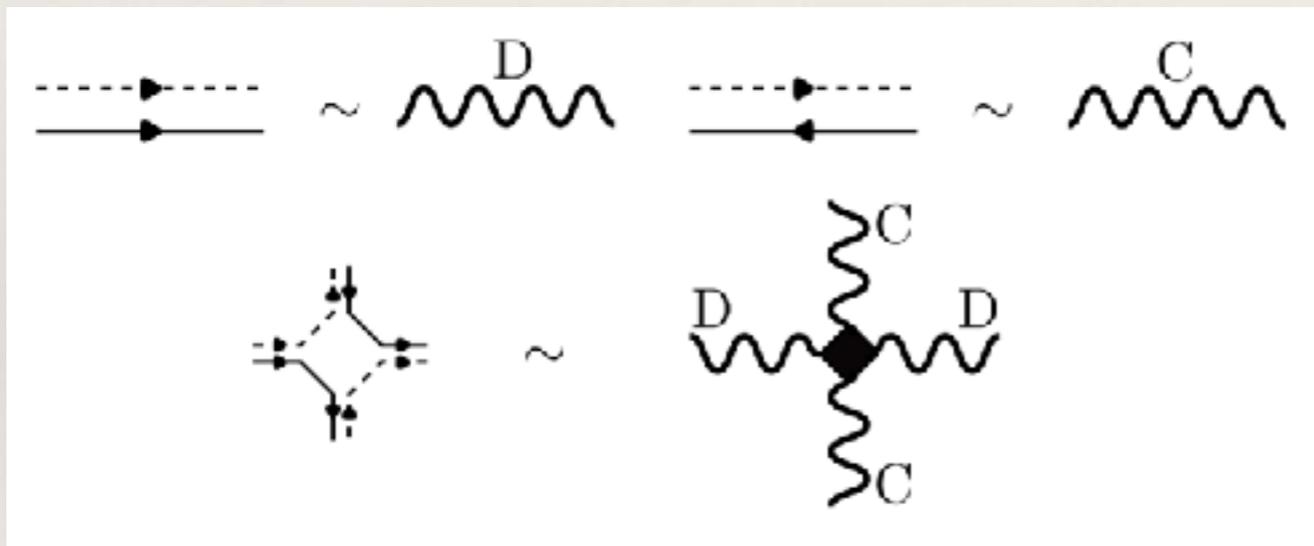
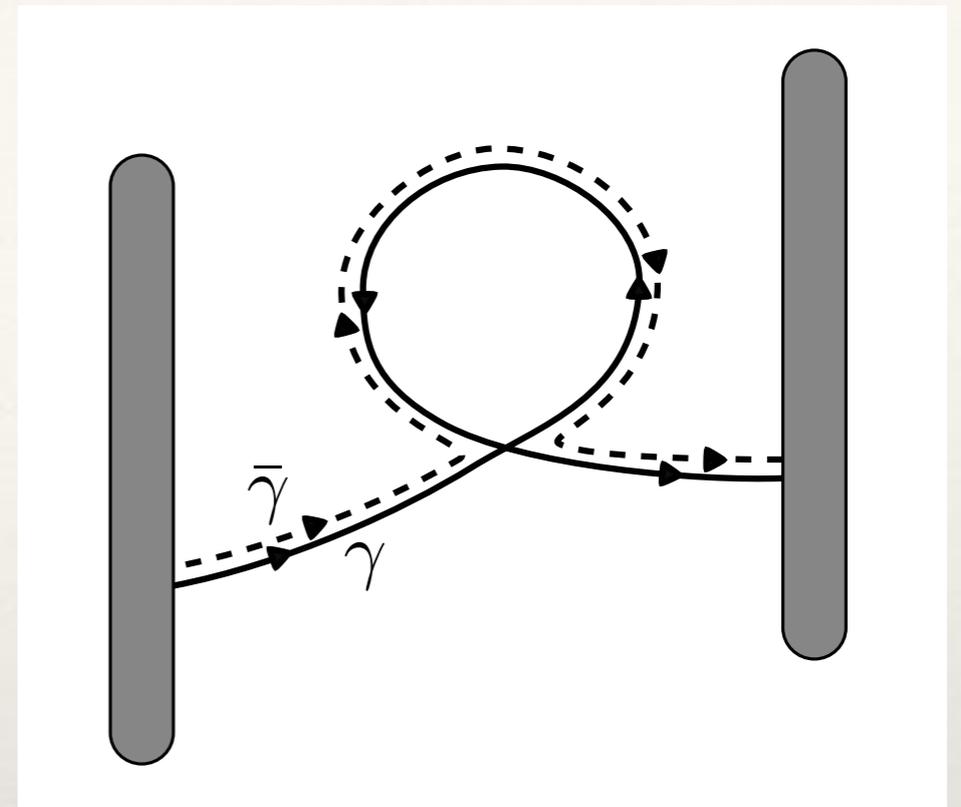
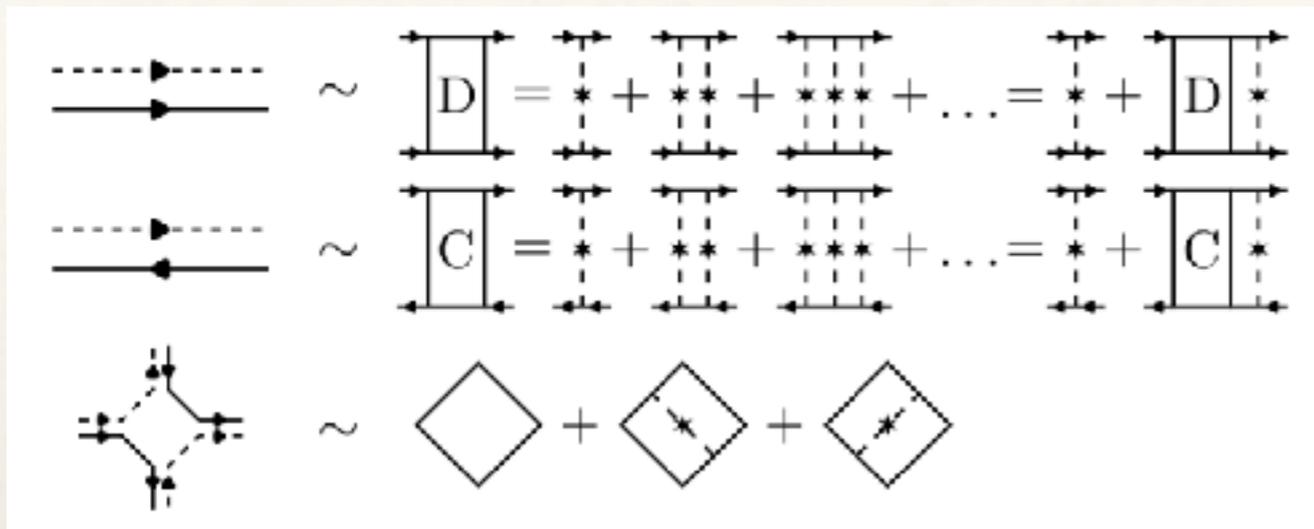
Weak (anti) localization

$$t_{nm} = \sum_{\beta} A_{\beta} e^{iS_{\beta}/\hbar}$$

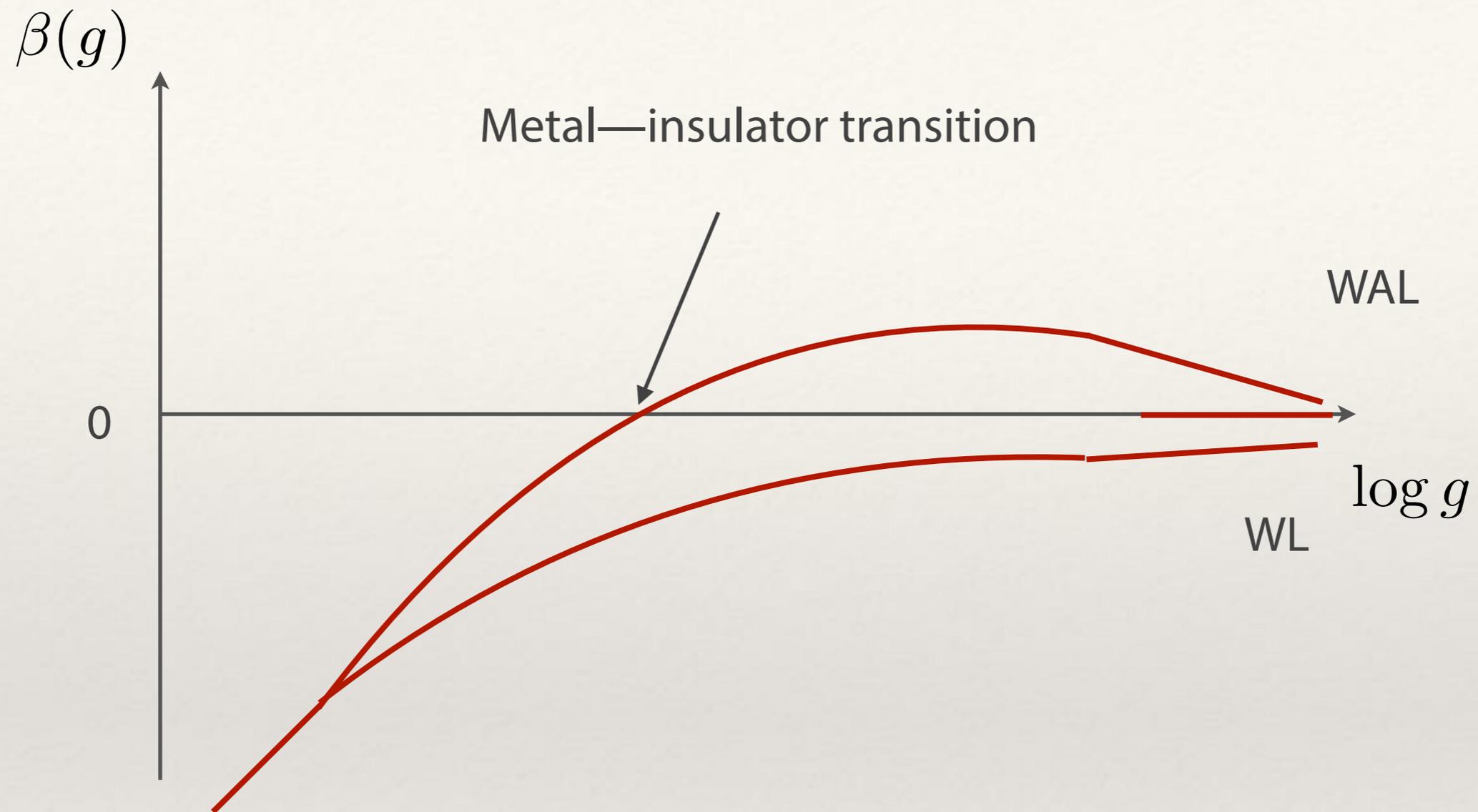
$$g = \sum_{\beta, \beta'} A_{\beta} A_{\beta'}^* e^{i(S_{\beta} - S_{\beta'})/\hbar}$$



Field theory of diffusion (nonlinear sigma model)



Scaling theory of localization — 2D



Absence of backscattering and perfectly transmitted mode

$$|\psi\rangle = \begin{cases} \sum_n c_{n,L} |n\rangle_L + d_{n,L} |\mathcal{T}n\rangle_L, & x \leq 0, \\ \sum_n c_{n,R} |n\rangle_R + d_{n,R} |\mathcal{T}n\rangle_R, & x \geq L, \\ |\Psi\rangle, & 0 \leq x \leq L. \end{cases}$$

$$S = \begin{pmatrix} r & t' \\ t & r' \end{pmatrix}$$

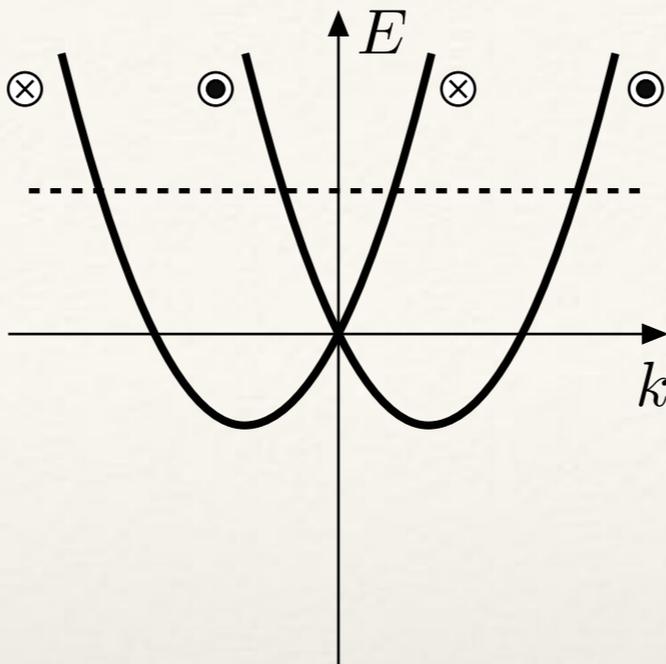
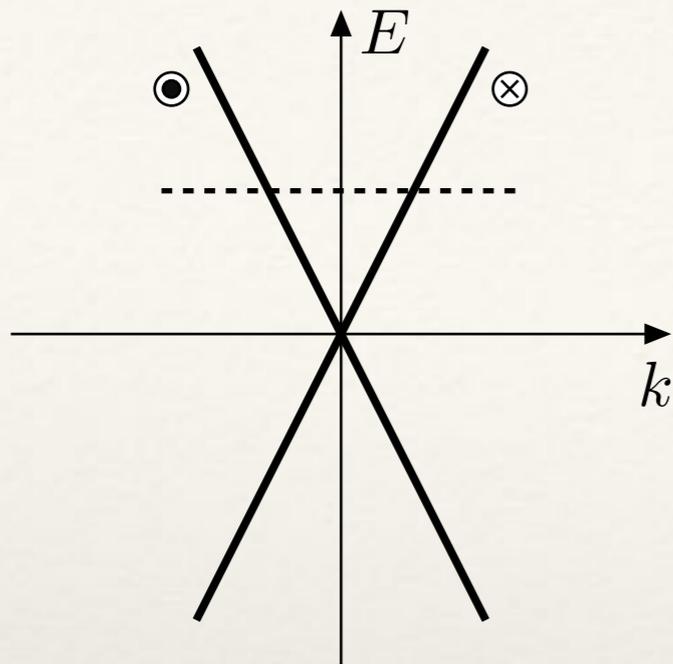
$$\begin{pmatrix} d_L \\ d_R \end{pmatrix} = S \begin{pmatrix} c_L \\ c_R \end{pmatrix}$$

$$|\mathcal{T}\psi\rangle = \begin{cases} \sum_n c_{n,L}^* |\mathcal{T}n\rangle_L - d_{n,L}^* |n\rangle_L, & x \leq 0, \\ \sum_n c_{n,R}^* |\mathcal{T}n\rangle_R - d_{n,R}^* |n\rangle_R, & x \geq L, \\ |\mathcal{T}\Psi\rangle, & 0 \leq x \leq L, \end{cases}$$

$$\begin{pmatrix} c_L^* \\ c_R^* \end{pmatrix} = -S \begin{pmatrix} d_L^* \\ d_R^* \end{pmatrix}$$

$$S^T = -S$$

Absence of backscattering and perfectly transmitted mode



$$r = -r^T$$

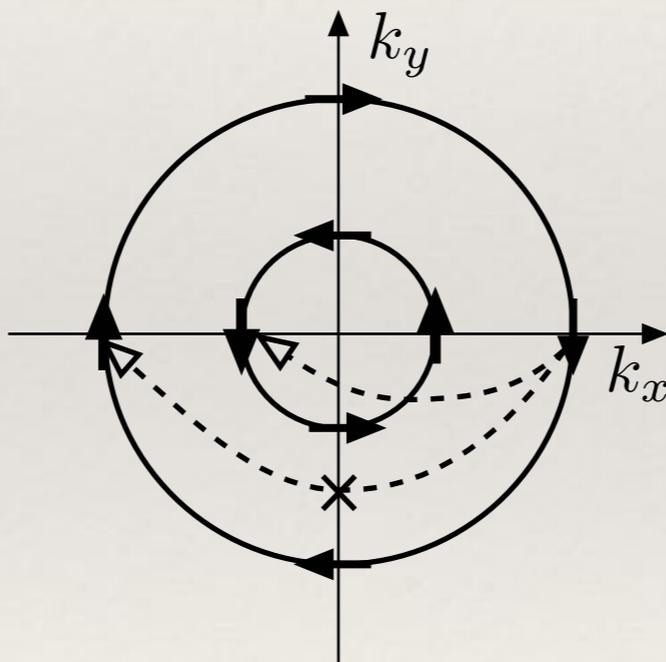
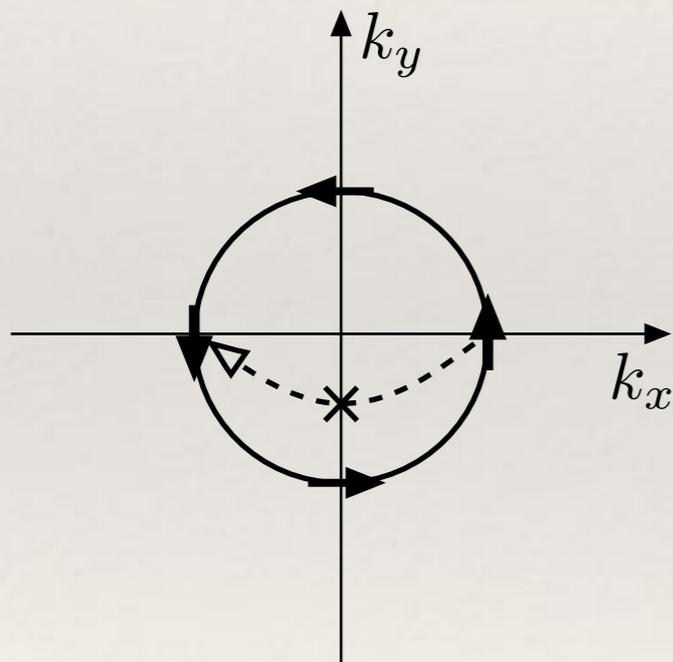
$$\Rightarrow r_{nn} = 0$$

$$\det r = \det -r^T$$

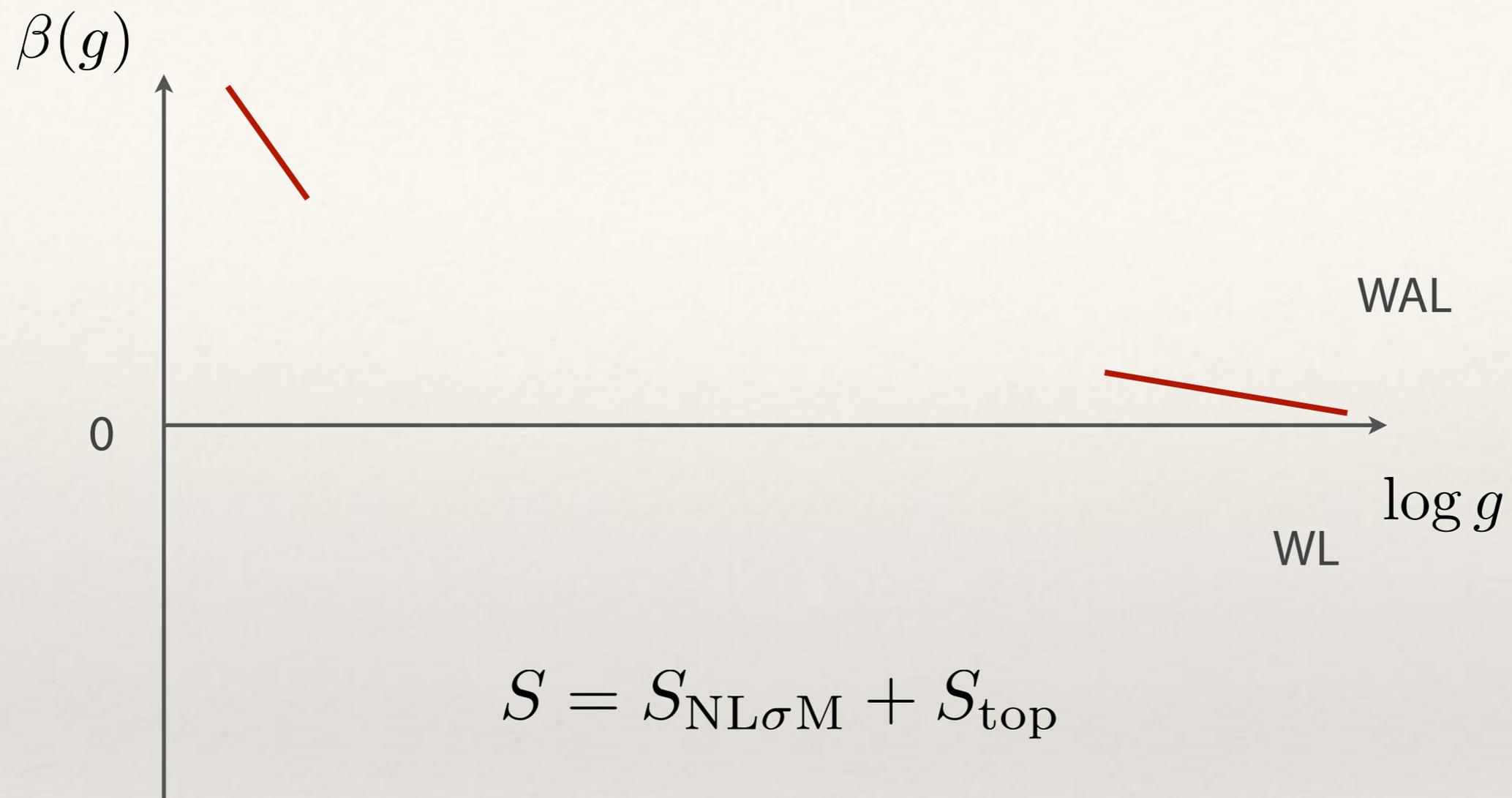
$$= (-1)^N \det r$$

if N odd \Rightarrow

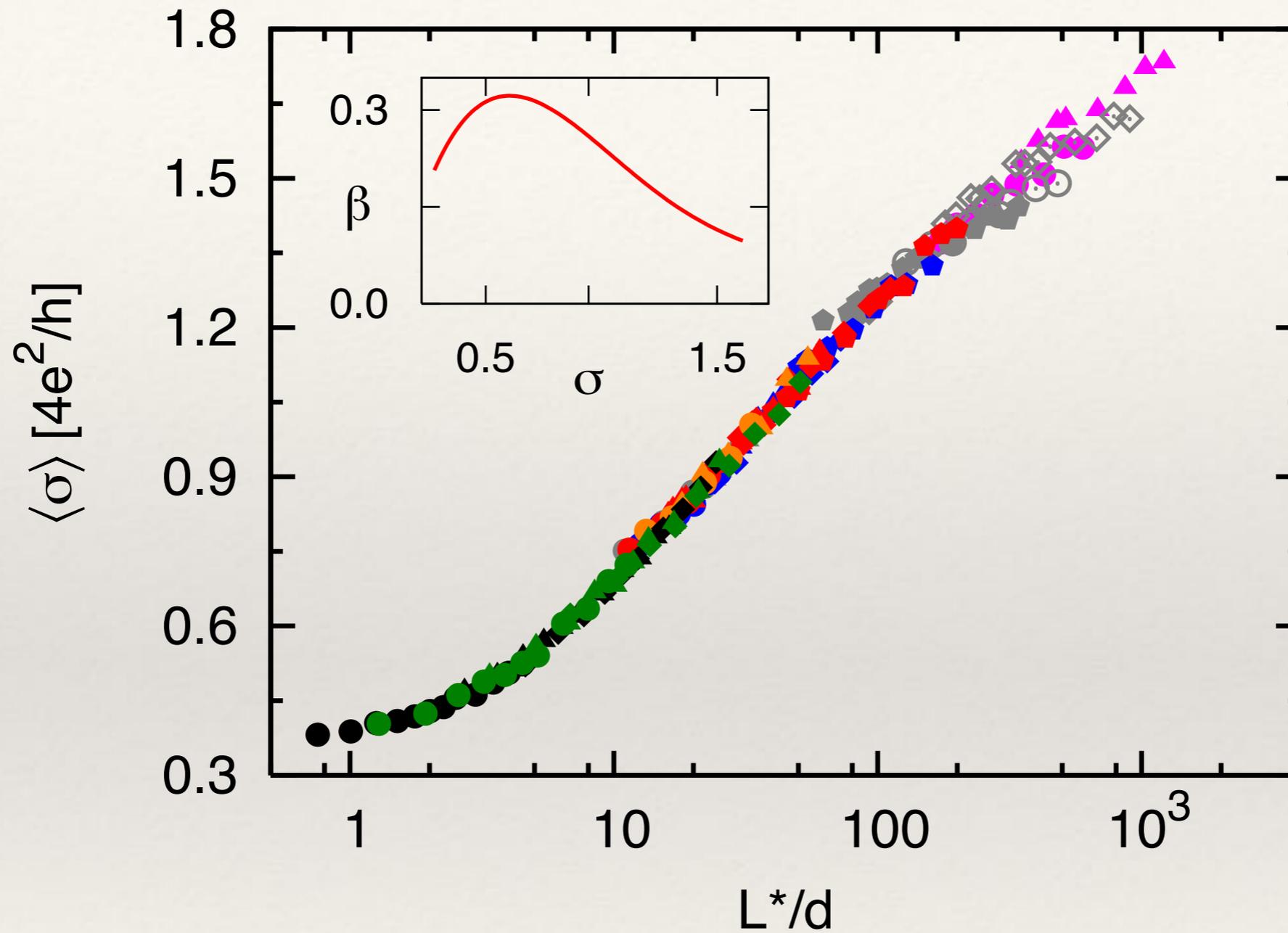
$$\det r = 0$$



Scaling theory of localization



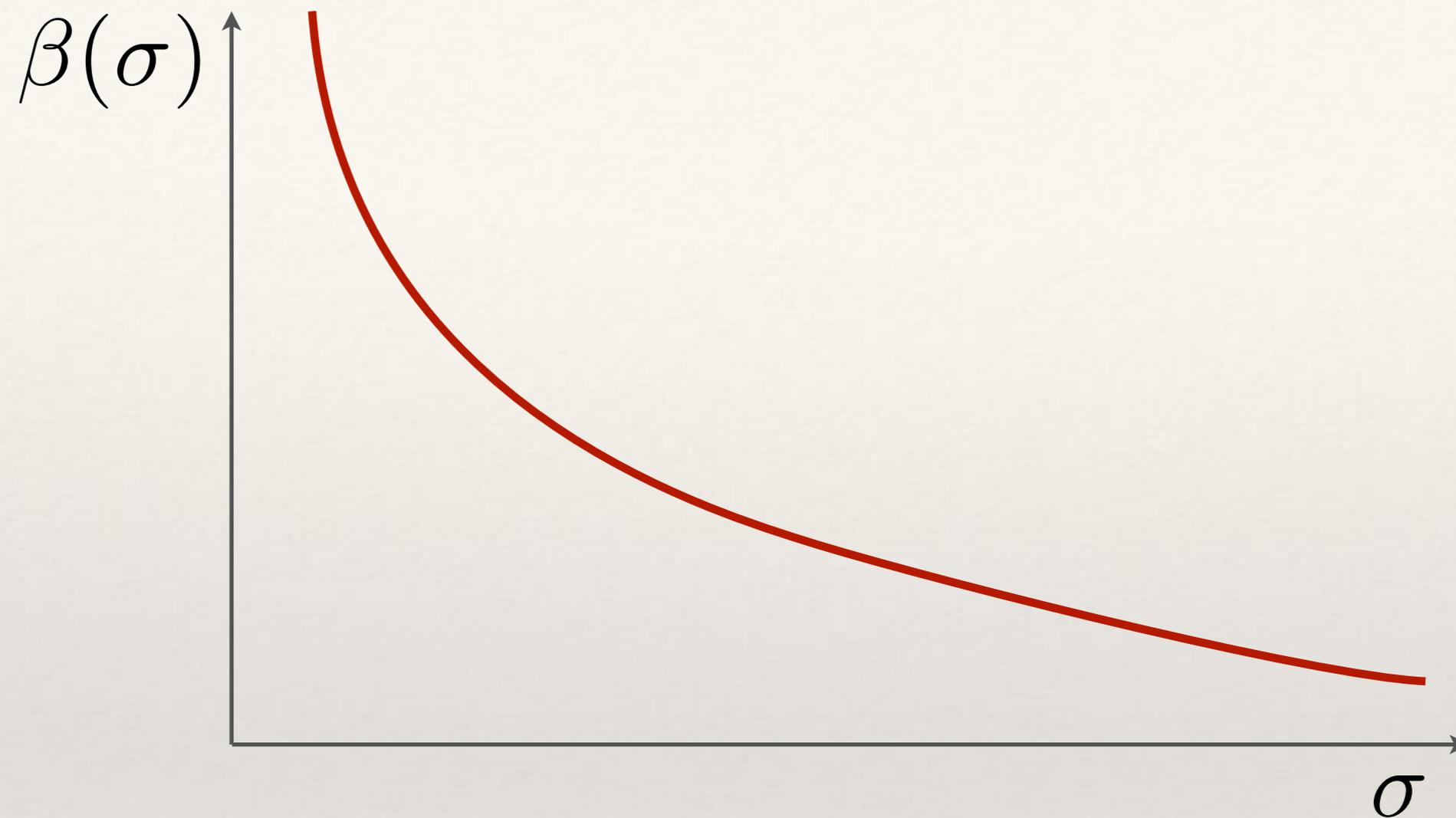
Absence of localisation



JHB, Tworzydło, Brouwer, Beenakker, PRL 2007

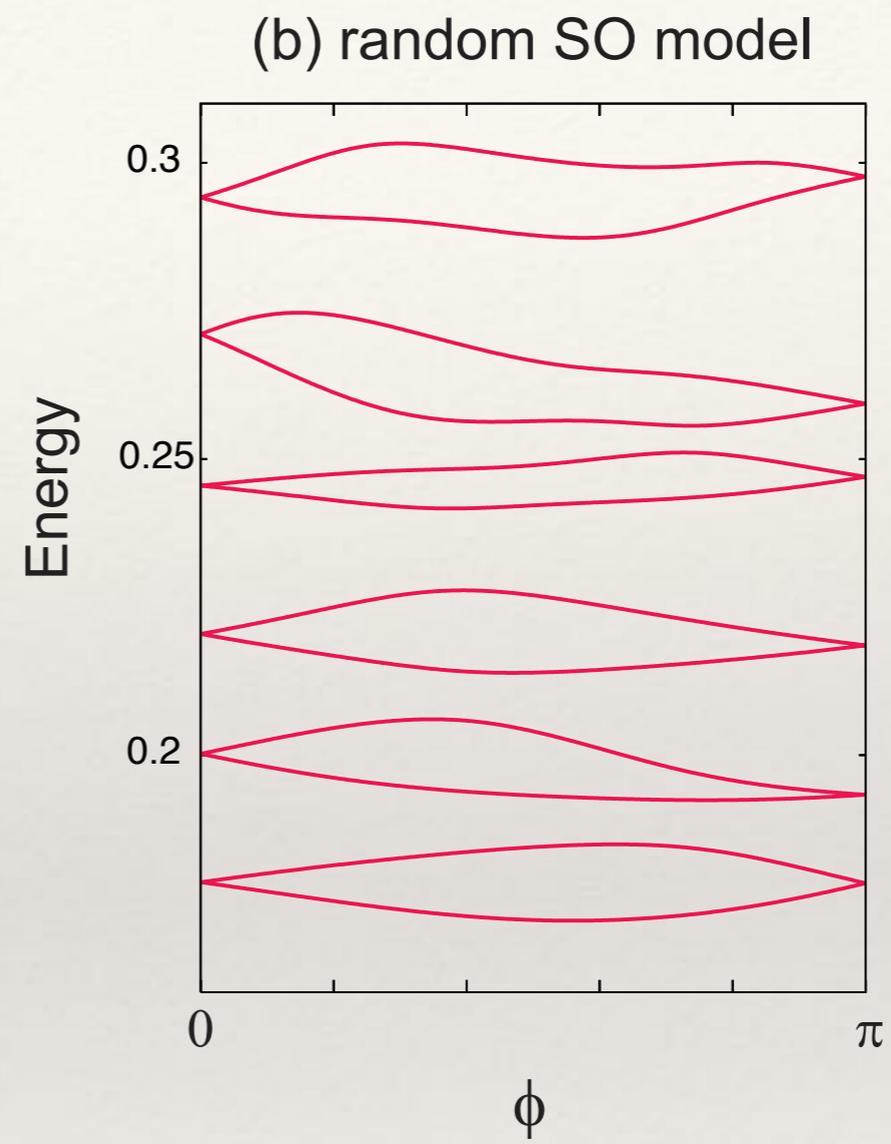
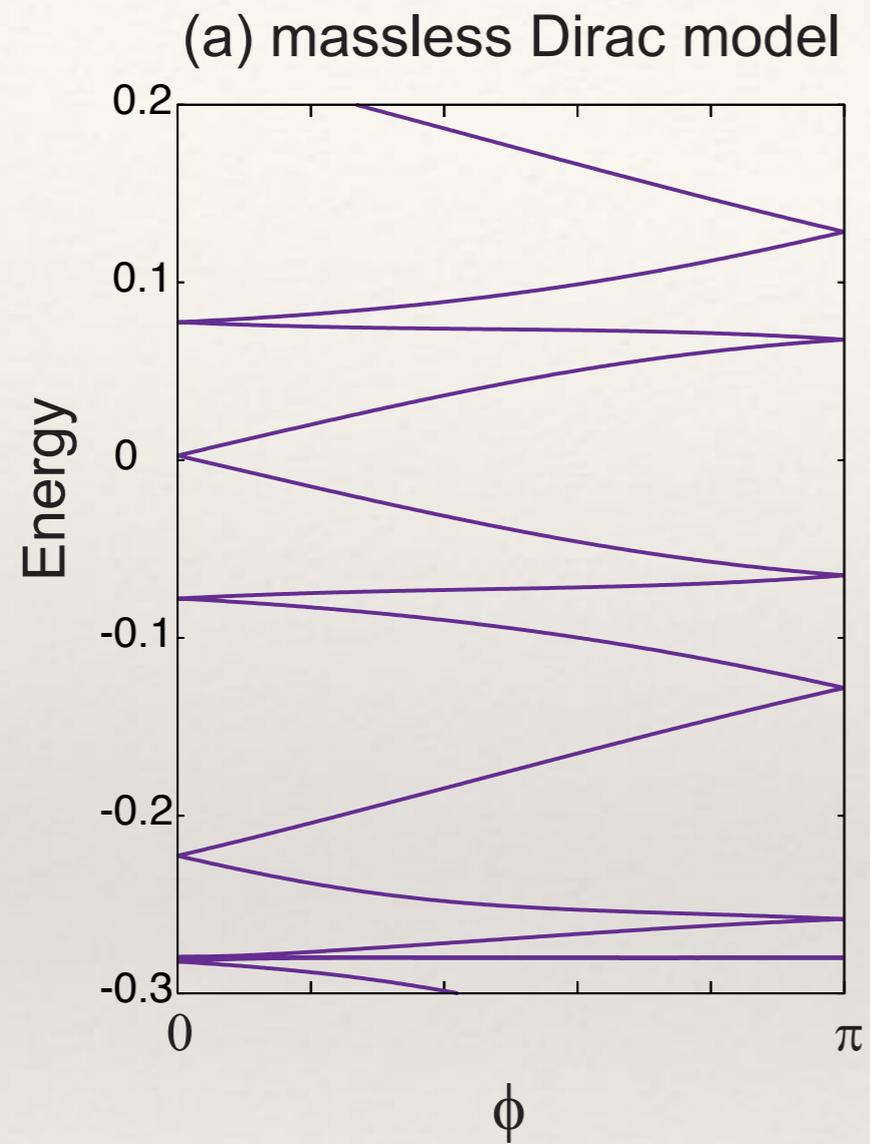
Nomura, Koshino, Ryu PRL 2007

Surface of a topological insulator can not be localized



Note: stronger condition than being gapless

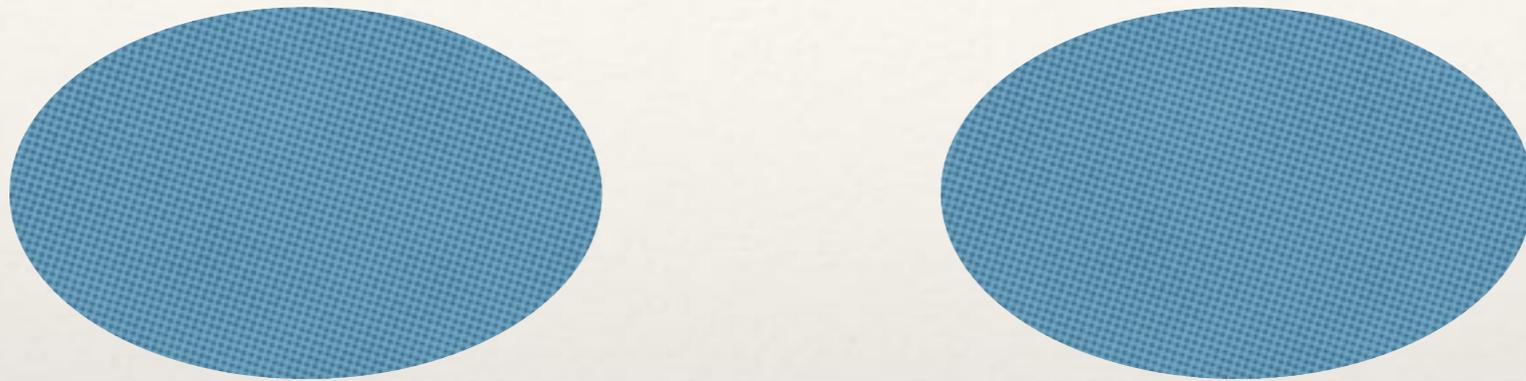
Topology of energy bands



Symmetric spaces and topological terms

$$S = S[Q] \quad Q \in O(2n)/O(n) \times O(n)$$

Symmetric space with two disconnected components



Topological term gives a different sign in the action to different components

A topological insulator in d dimensions has a $d-1$ dimensional surface that can not be localized. The non-linear sigma model describing the surface correspondingly has a topological term

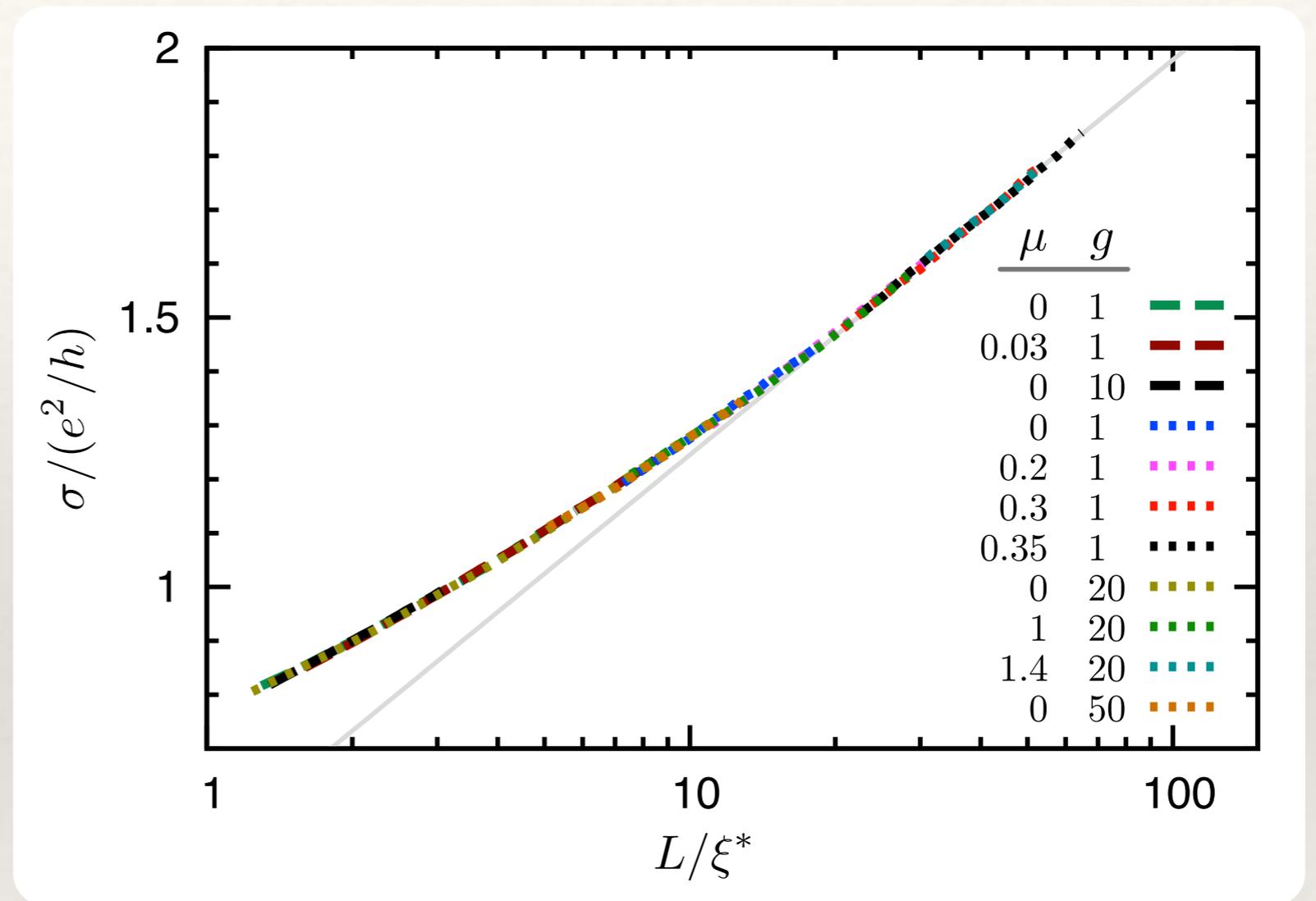
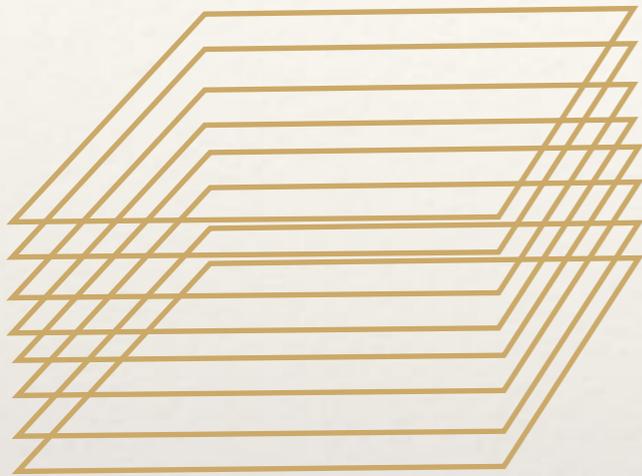
The periodic table of topological insulators

Cartan	T	C	S	H	NL σ M	Name	d=0	d=1	d=2	d=3
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AIII	0	0	1	U(N+M)/ U(N) \times U(M)	U(n)	Chiral Unitary	0	\mathbb{Z}	0	\mathbb{Z}
AI	+1	0	0	U(N)/O(N)	Sp(2n)/ Sp(n) \times Sp(n)	Orthogonal	\mathbb{Z}	0	0	0
BDI	+1	+1	1	O(N+M)/ O(N) \times O(M)	U(2n)/Sp(2n)	Chiral orthogonal	\mathbb{Z}_2	\mathbb{Z}	0	0
D	0	+1	0	SO(2N)	O(2n)/U(n)	BdG	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}	0
DIII	-1	+1	1	SO(2N)/U(N)	O(2n)	BdG	0	\mathbb{Z}_2	\mathbb{Z}_2	\mathbb{Z}
AII	-1	0	0	U(2N)/Sp(2N)	O(2n)/ O(n) \times O(n)	Symplectic	$2\mathbb{Z}$	0	\mathbb{Z}_2	\mathbb{Z}_2
CII	-1	-1	1	Sp(N+M)/ Sp(N) \times Sp(M)	U(2n)/O(2n)	Chiral symplectic	0	$2\mathbb{Z}$	0	\mathbb{Z}_2
C	0	-1	0	Sp(2N)	Sp(2n)/U(n)	BdG	0	0	$2\mathbb{Z}$	0
CI	+1	-1	1	Sp(2N)/U(N)	Sp(2n)	BdG	0	0	0	$2\mathbb{Z}$

Weak topological insulators

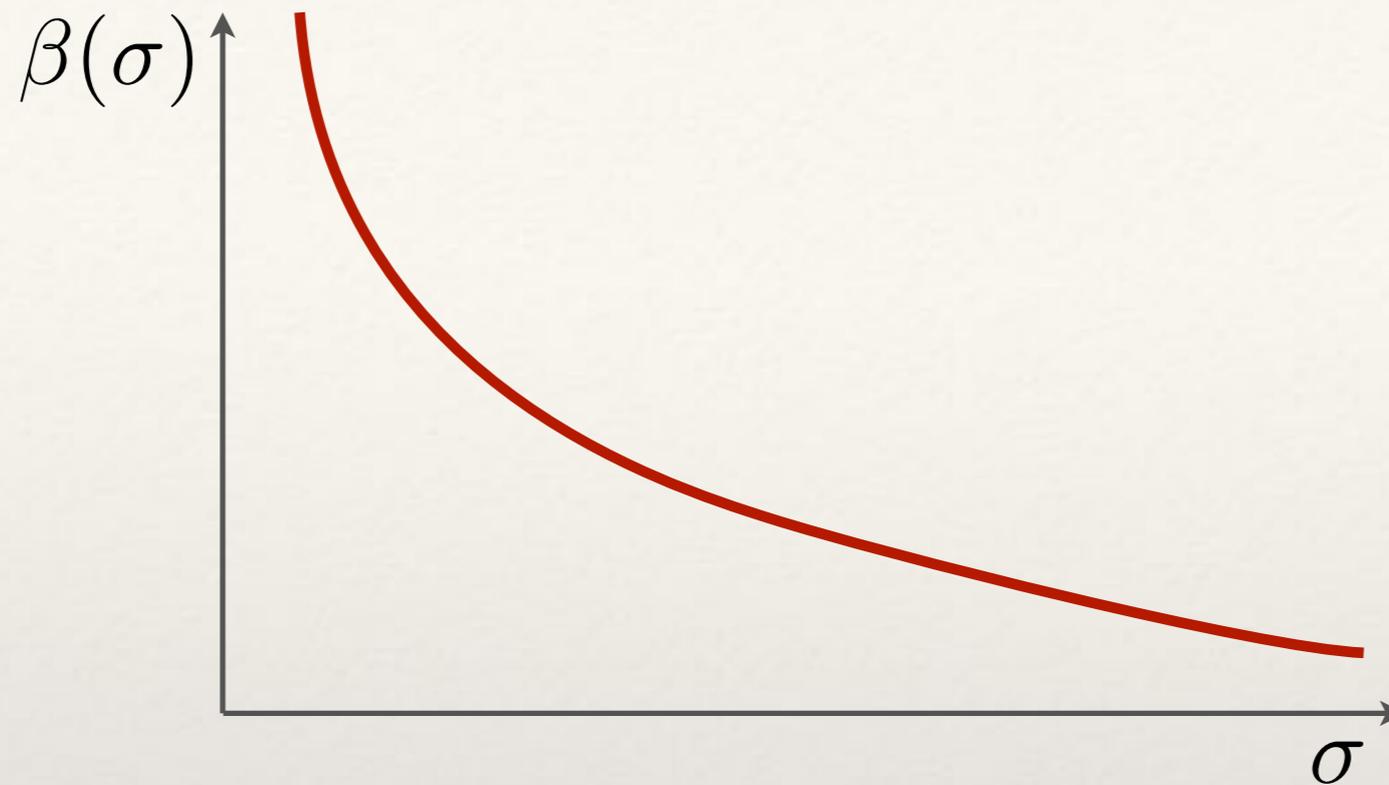
(ν_0, ν) Strong and weak indices

Can think of as stacking of lower dimensional strong topological insulators



Does not localize unless average translation symmetry broken

Summary of lecture 1



Topological insulators characterized by a surface that doesn't localize

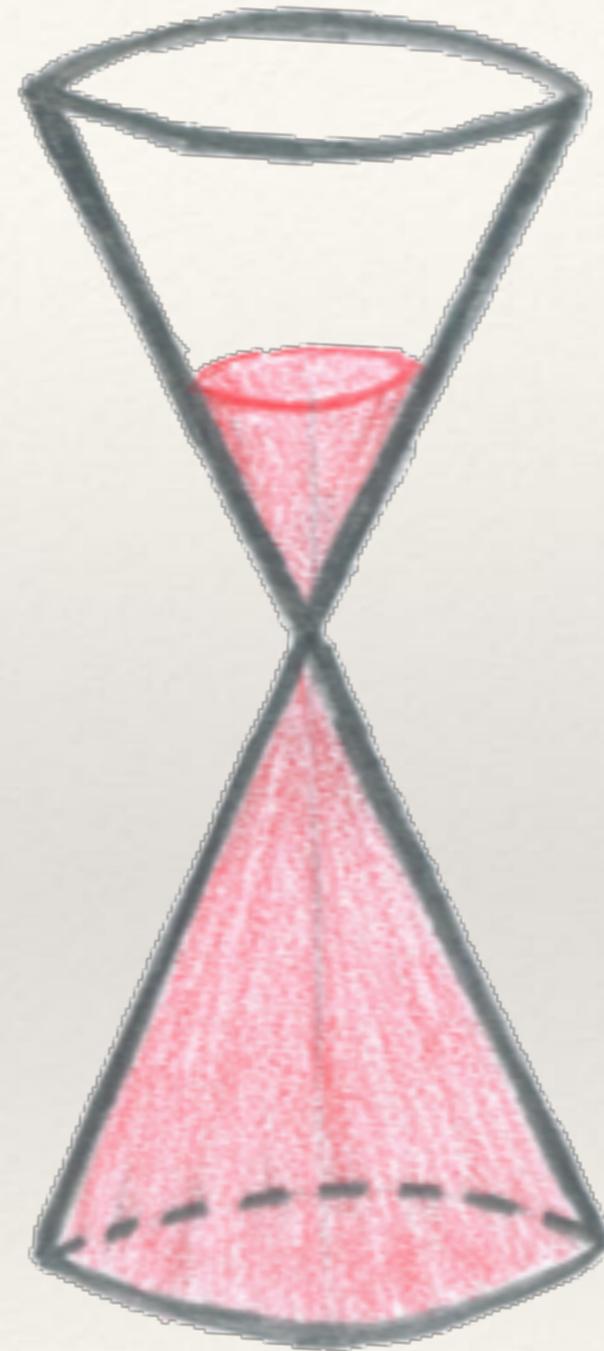
In 3D top. ins. disorder drives the surface into a symplectic metal,
characterized by weak anti-localization

Topological insulator nanowires

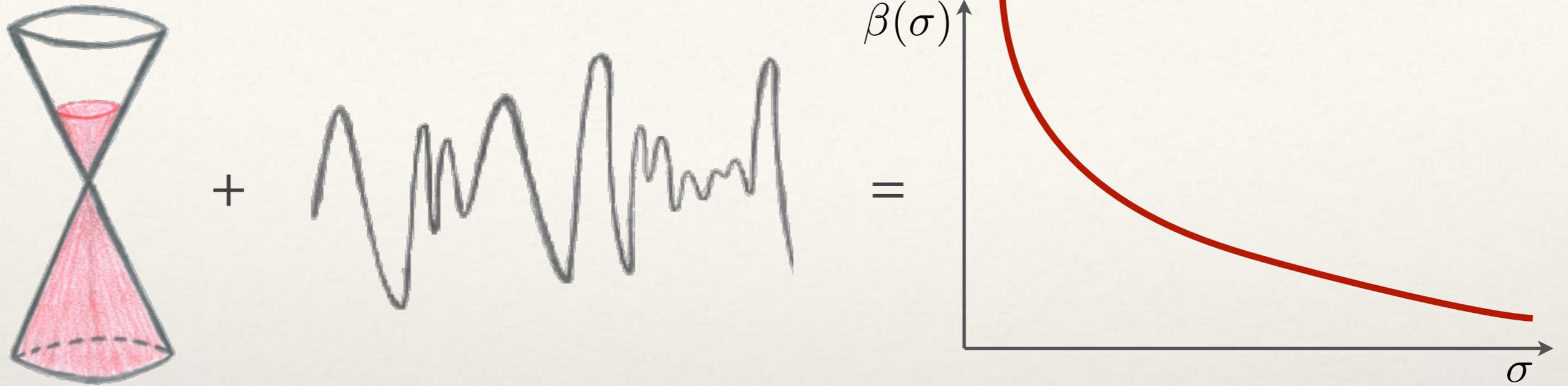
Jens Hjörleifur Bårðarson

Max Planck Institute PKS, Dresden

KTH Royal Institute of Technology, Stockholm

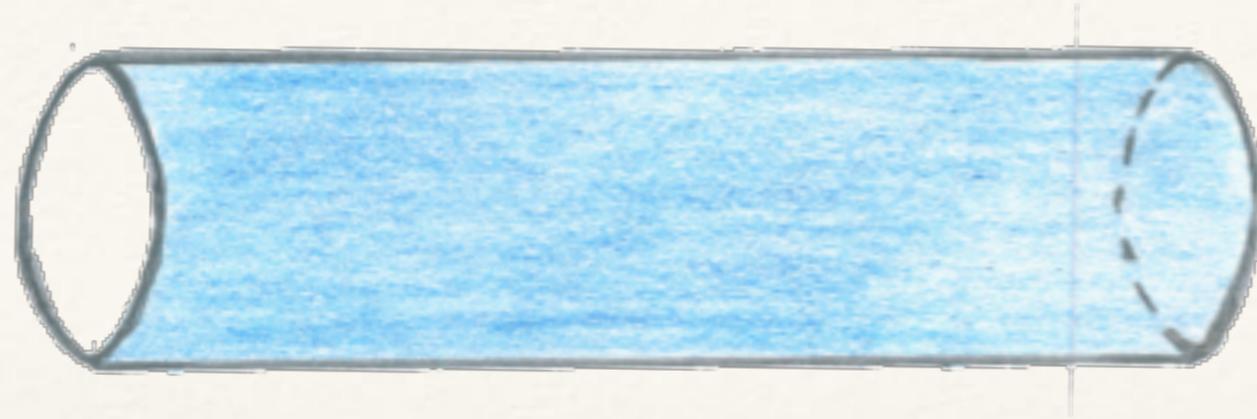


Surface of a 3D topological insulator is a supermetal

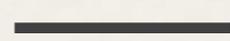


$$H = p_x \sigma_x + p_y \sigma_y + V(\mathbf{r})$$

Topological insulator nanowires host various interesting modes



Perfectly transmitted mode

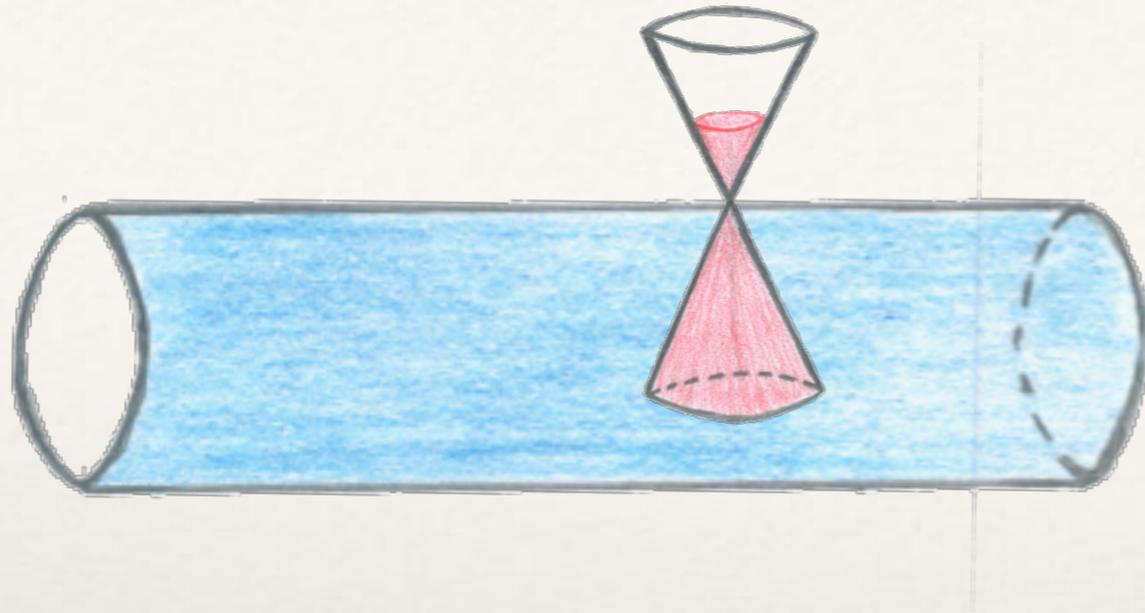


Chiral modes

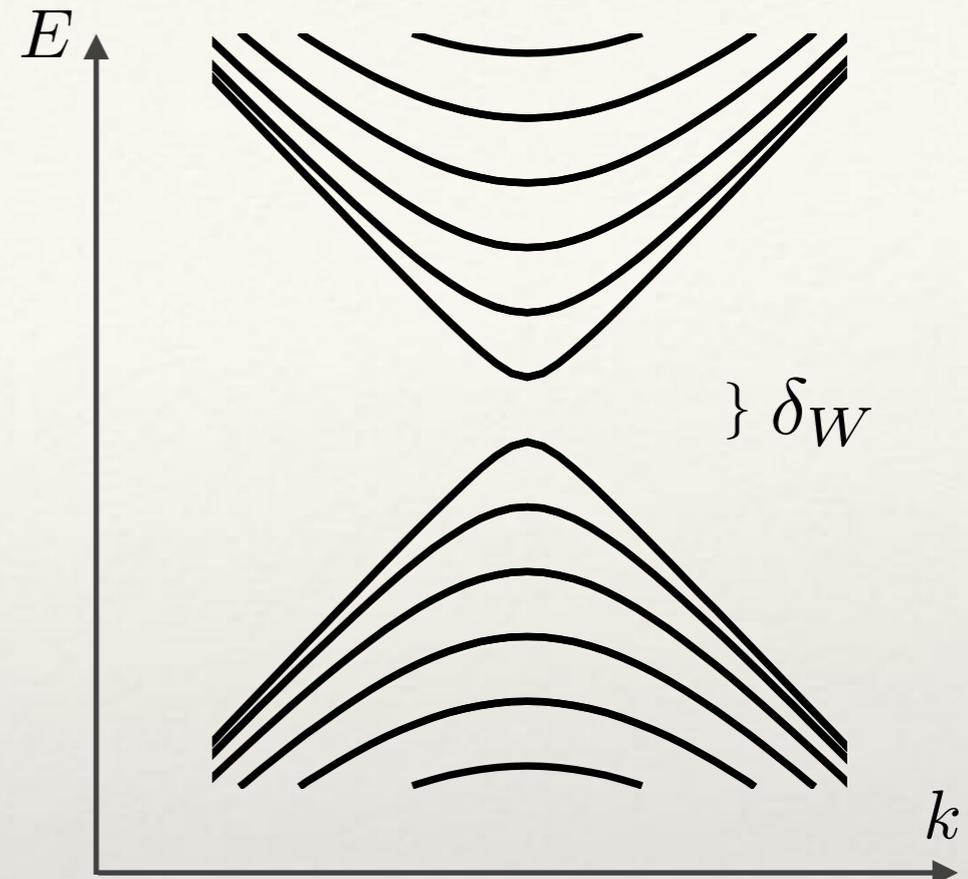


Majorana modes

Dirac fermion on a curved surface



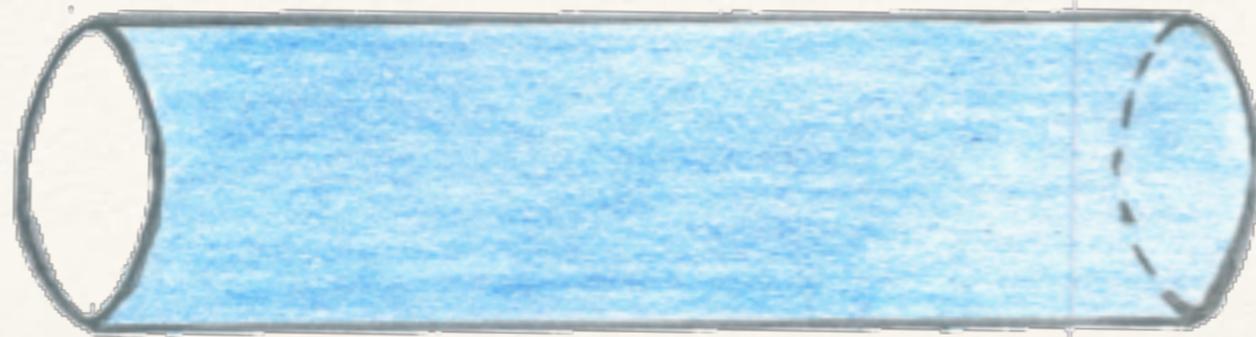
$$H = p_x \sigma_x + p_y \sigma_y$$
$$\psi(\theta + 2\pi) = -\psi(\theta)$$



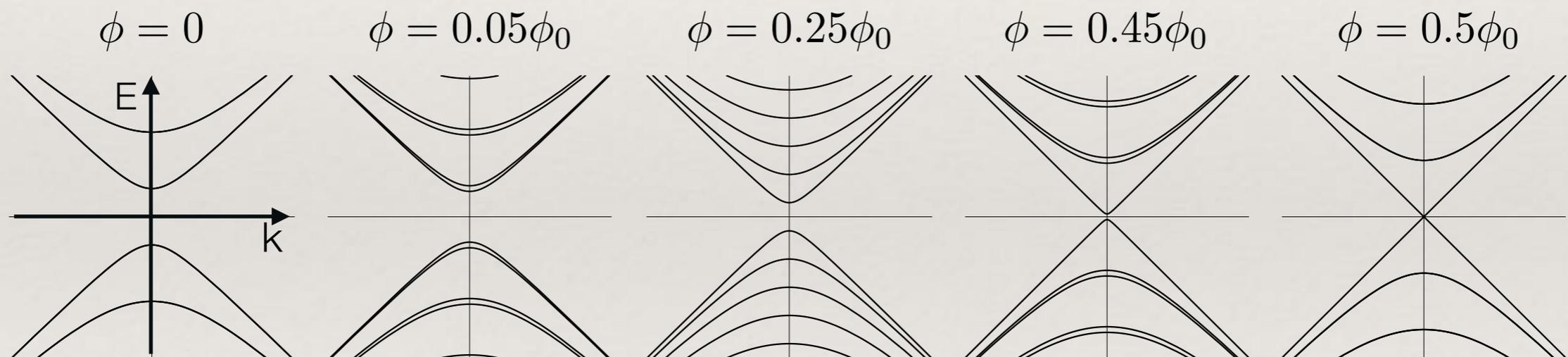
$$\delta_W = \frac{\hbar v_F 2\pi}{W} = 6 \text{ meV}$$

@380 nm

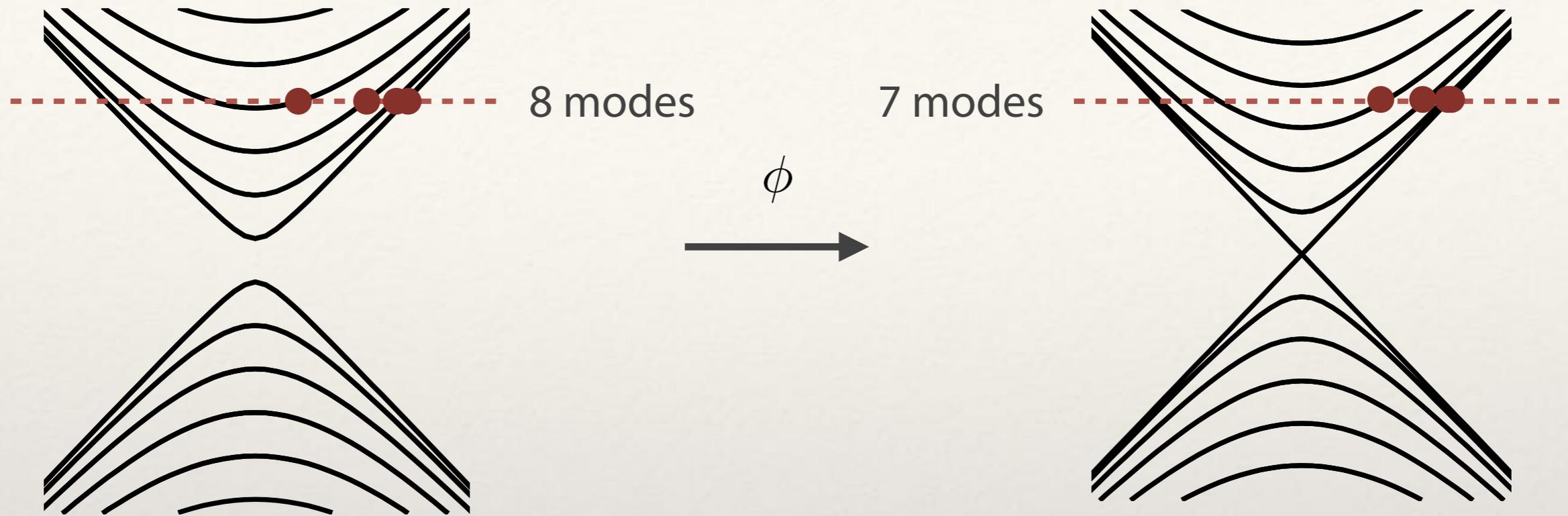
Aharonov-Bohm flux modifies spectrum

 ϕ 

$$\psi(\theta + 2\pi) = e^{i\pi + 2i\pi\phi/\phi_0} \psi(\theta)$$



From even to odd — the perfectly transmitted mode emerges



$$THT^{-1} = H$$

$$T^2 = -1$$

 \Rightarrow

$$S^T = -S$$

$$r^T = -r$$

 \Rightarrow

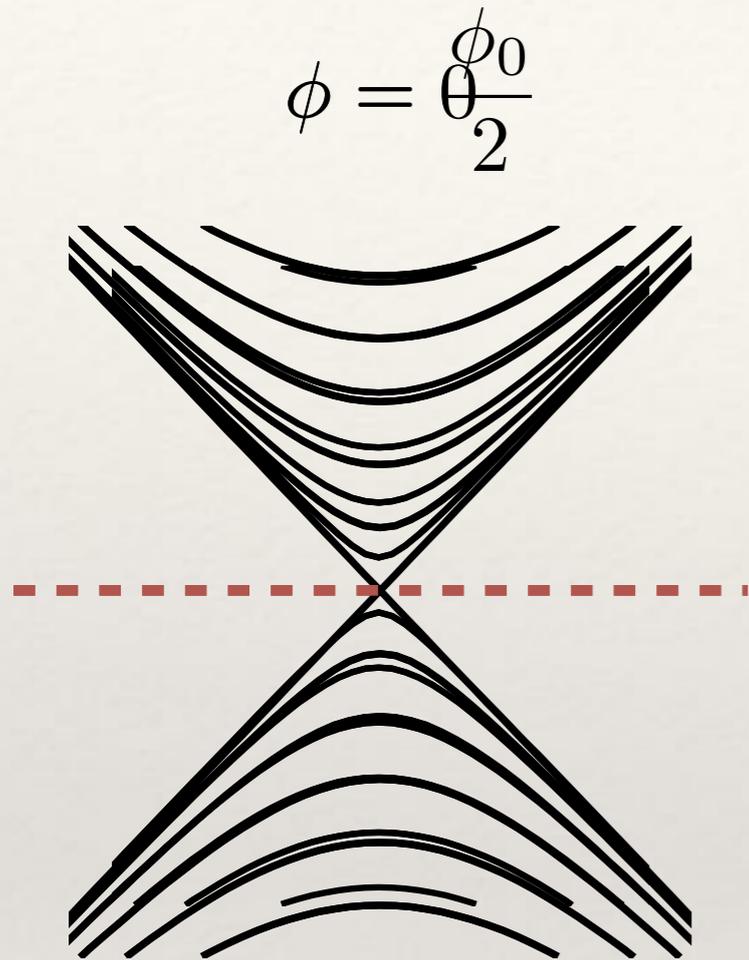
$$\det r = (-1)^N \det r$$

$$G \geq \frac{e^2}{h} \quad N \text{ odd}$$

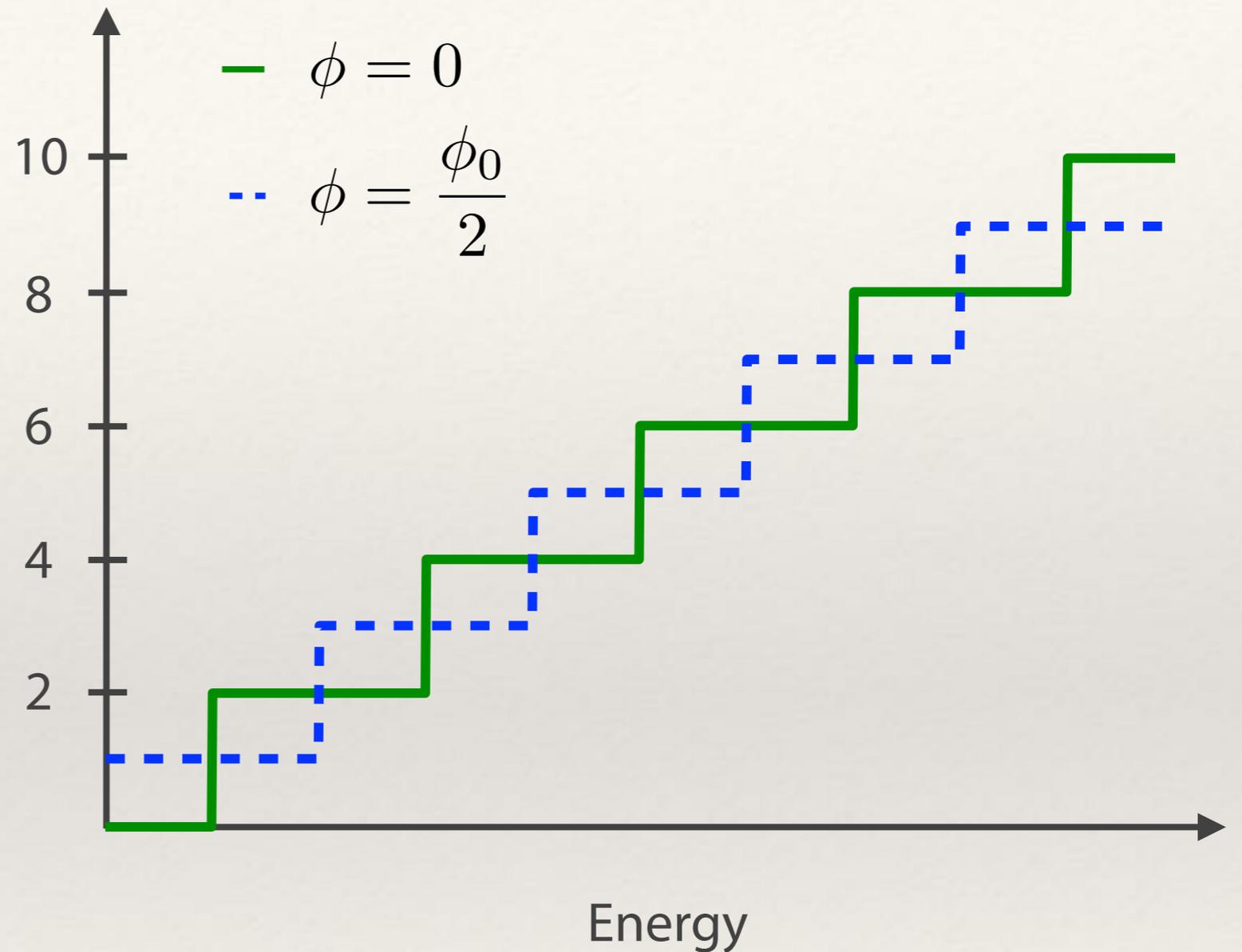
Ando, Suzuura J. Phys. Soc. Jpn. 2002;

JHB, Brouwer, Moore PRL 2010; JHB J. Phys. A: Math. Theor. 2008

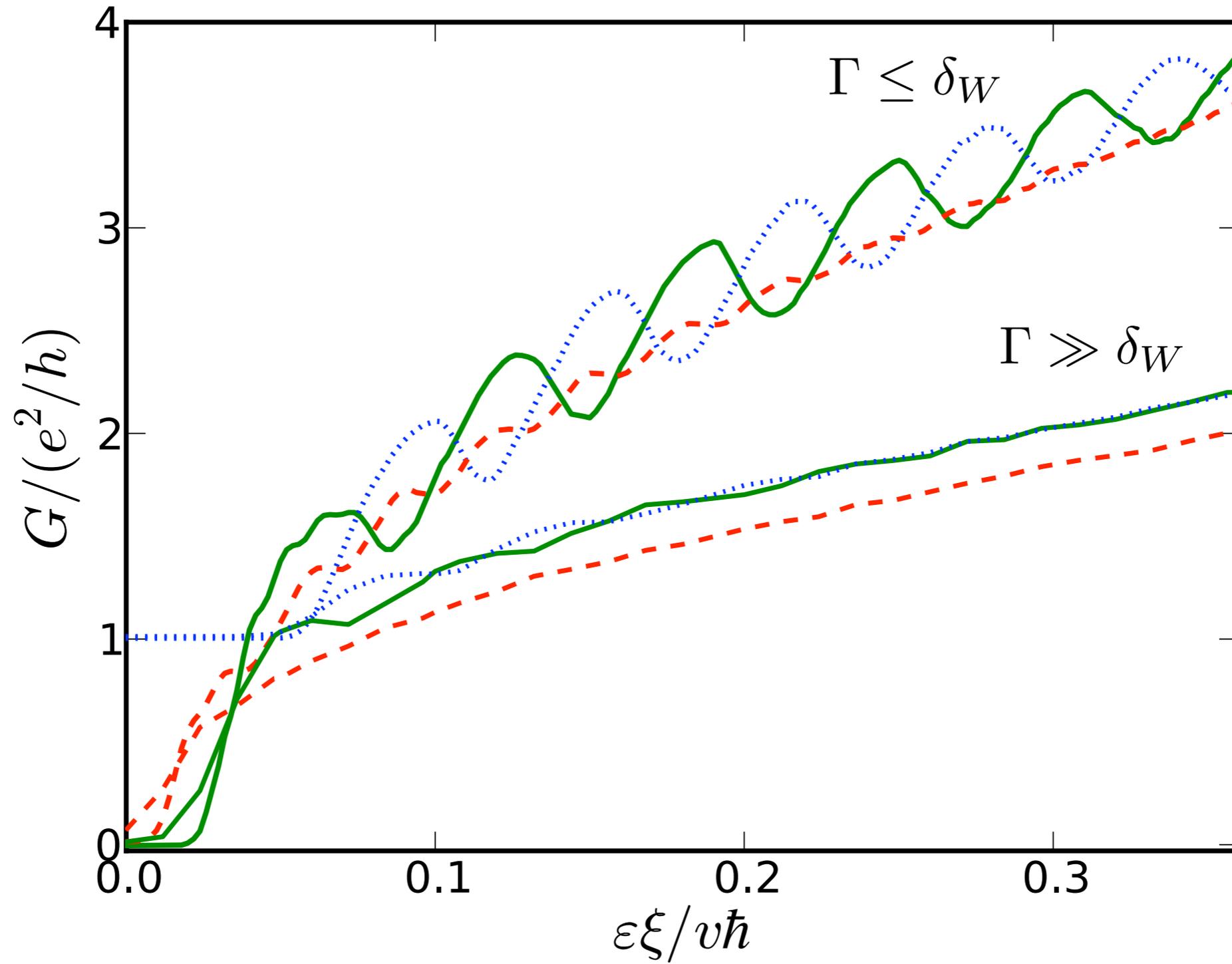
Number of modes depends on energy and flux



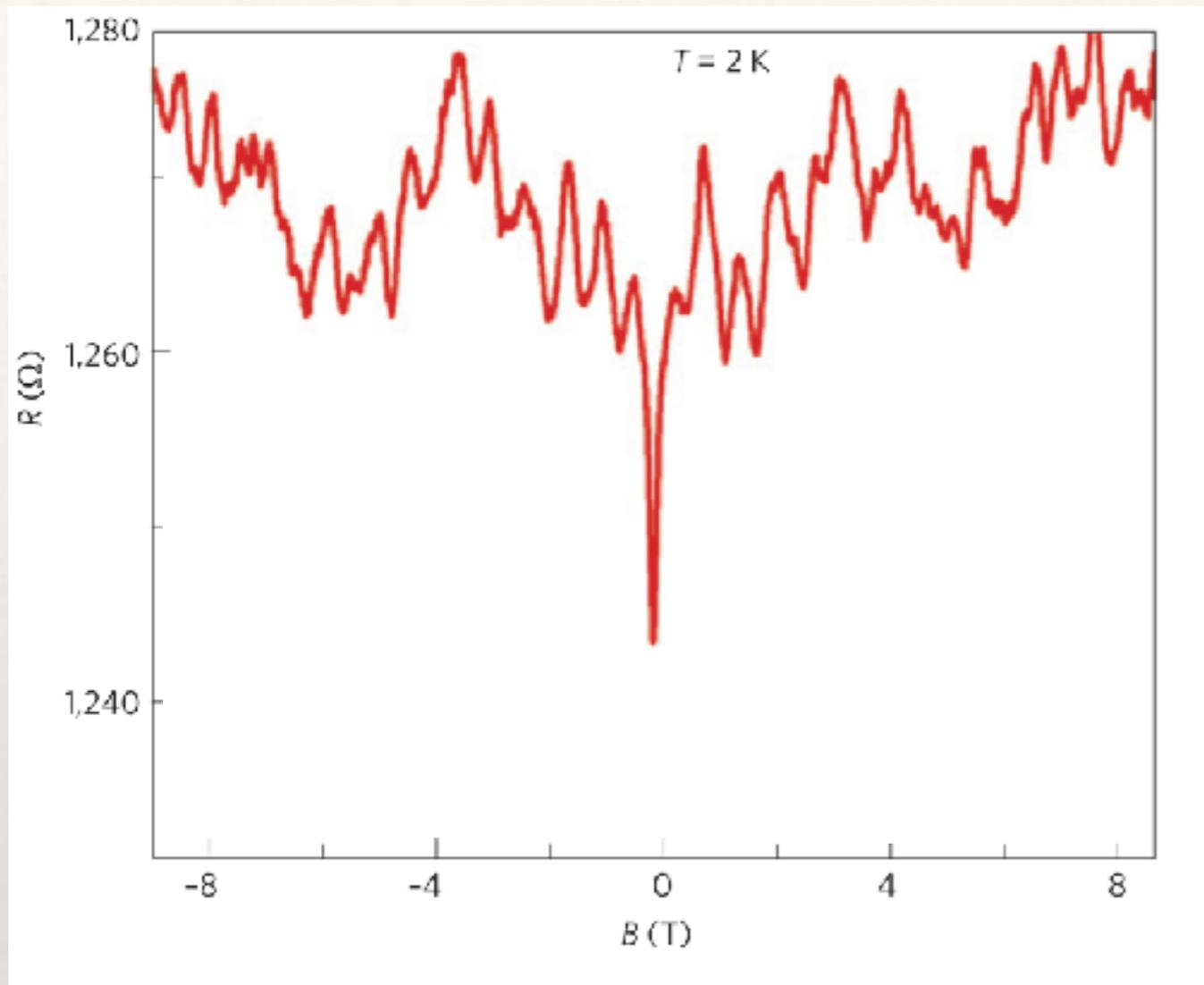
Number of modes

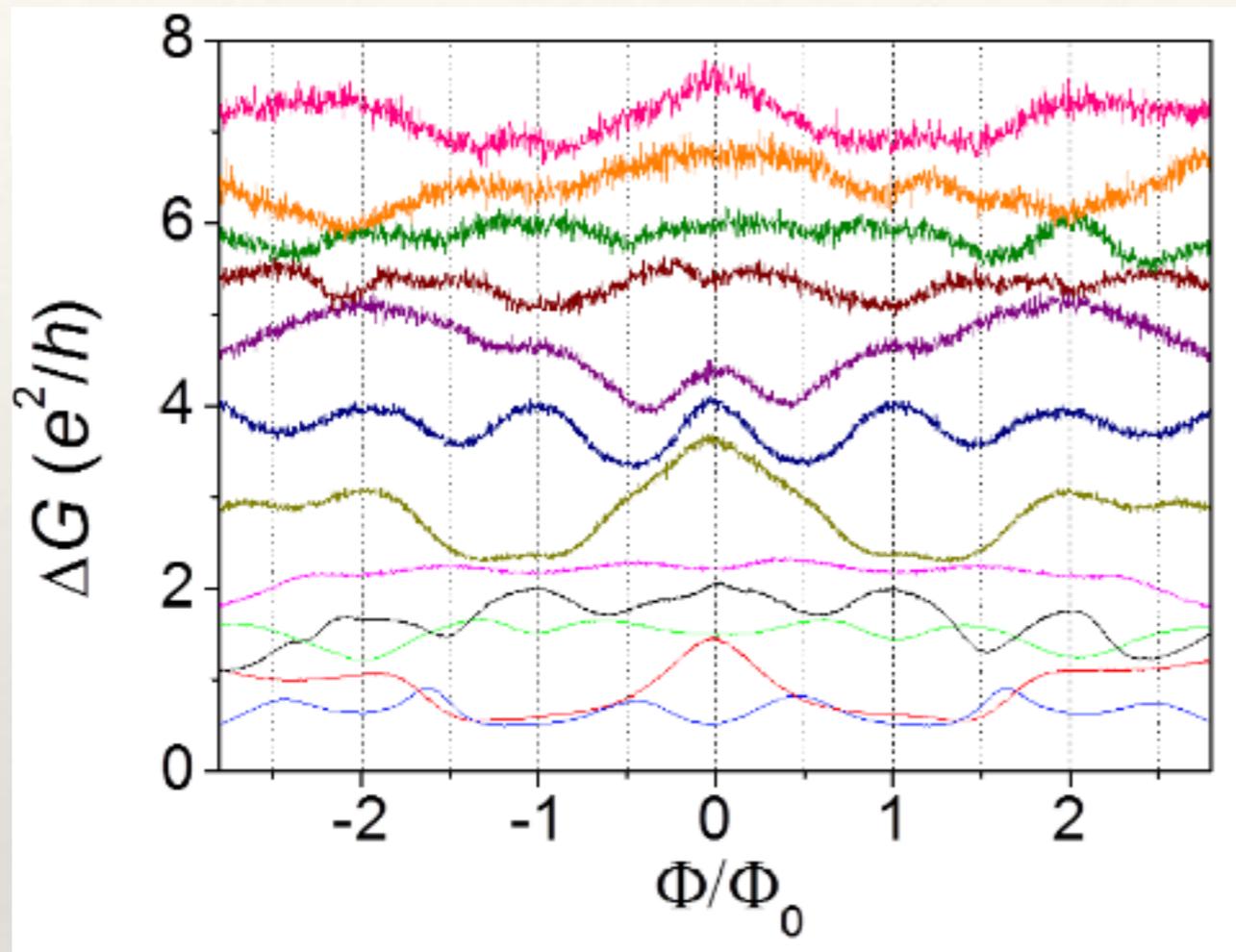


Conductance vs. chemical potential

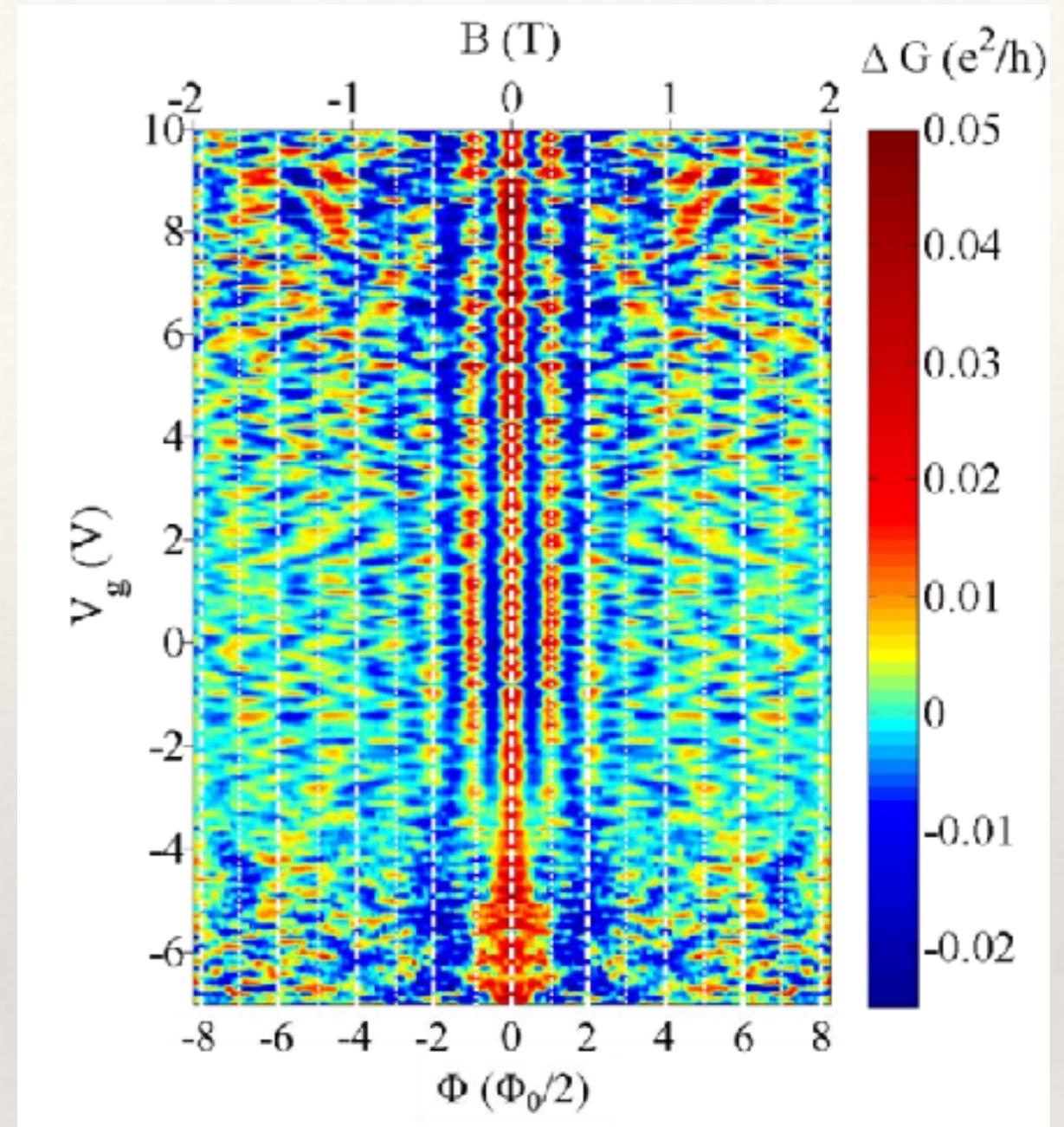


Experimental status

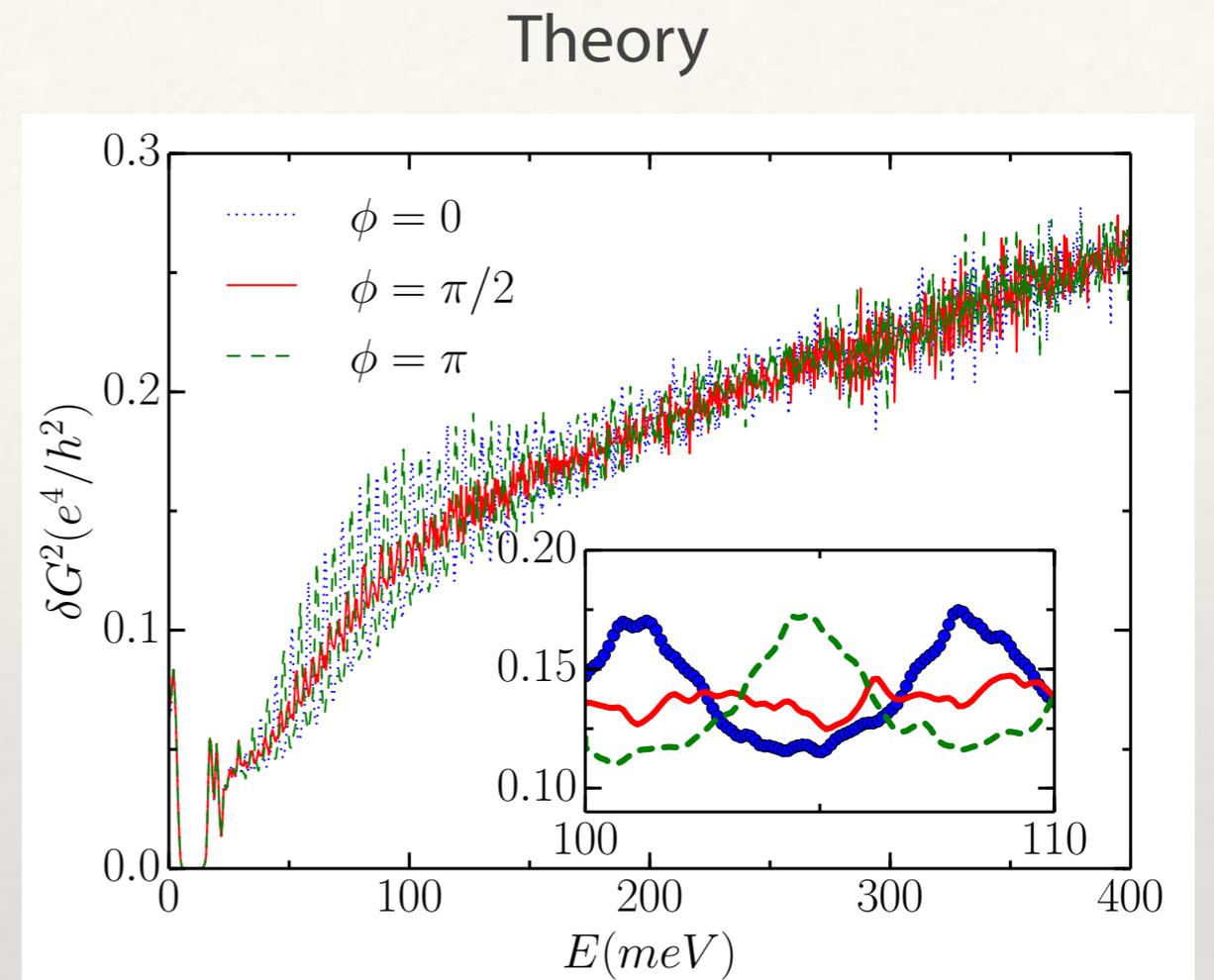
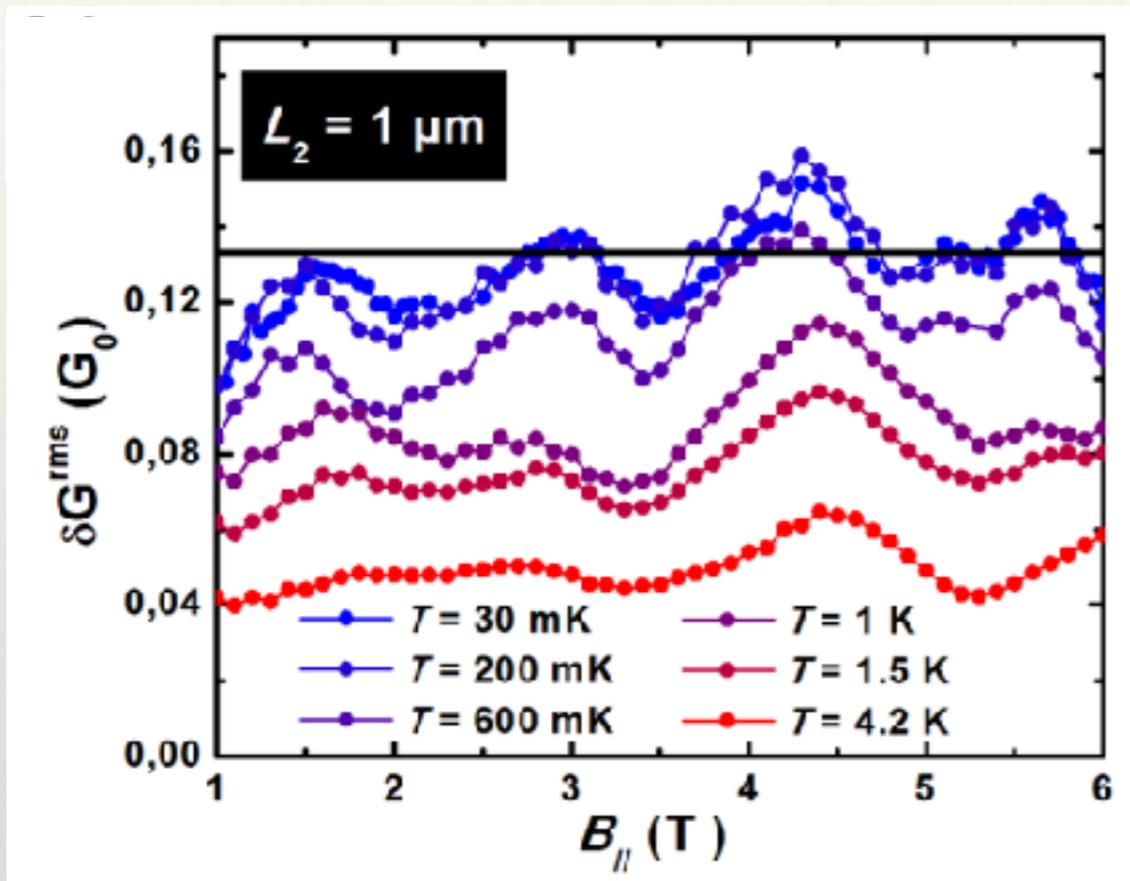




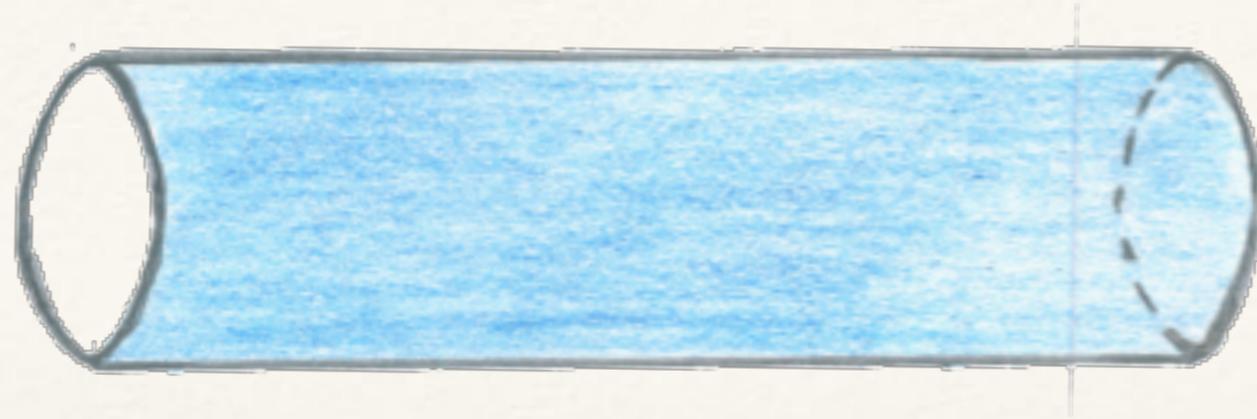
S. Cho, ..., N. Mason, Nature Comm. 2015



L. Jauregui, ..., Y.P. Chen, Nature Nanotechnol. 2016



Topological insulator nanowires host various interesting modes



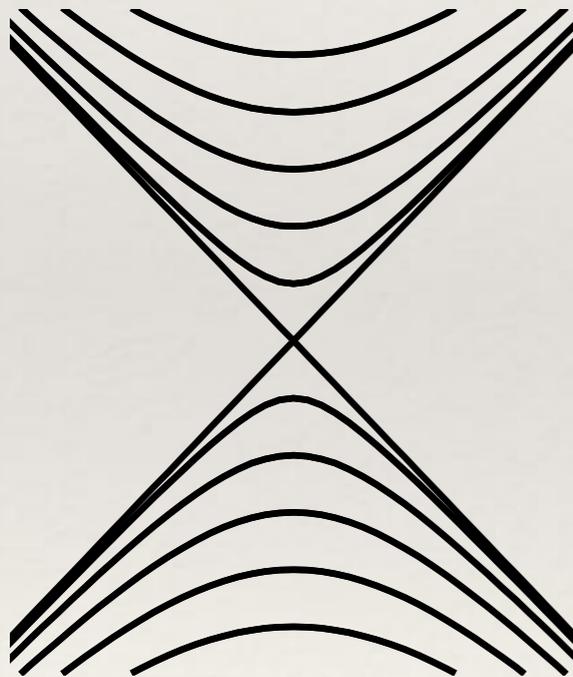
Perfectly transmitted mode



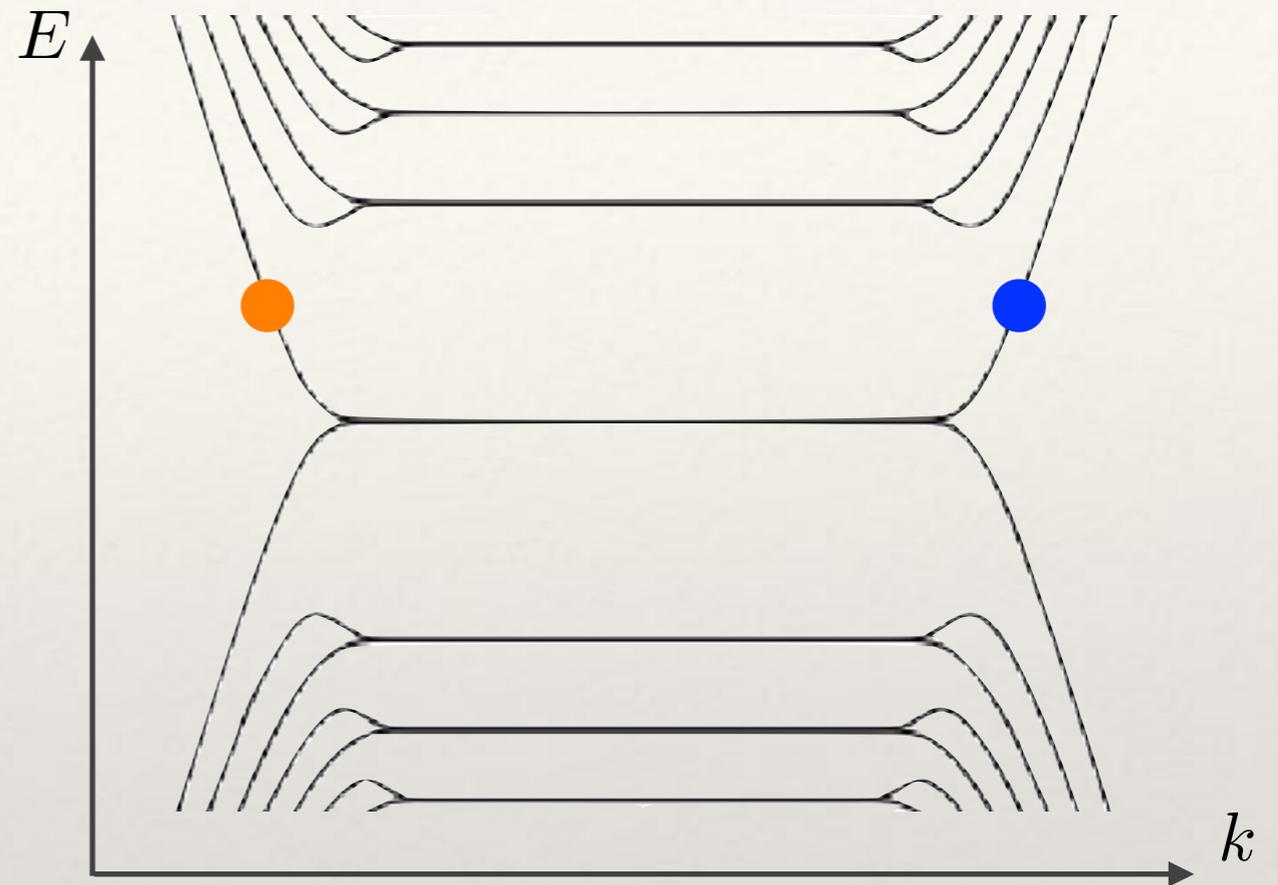
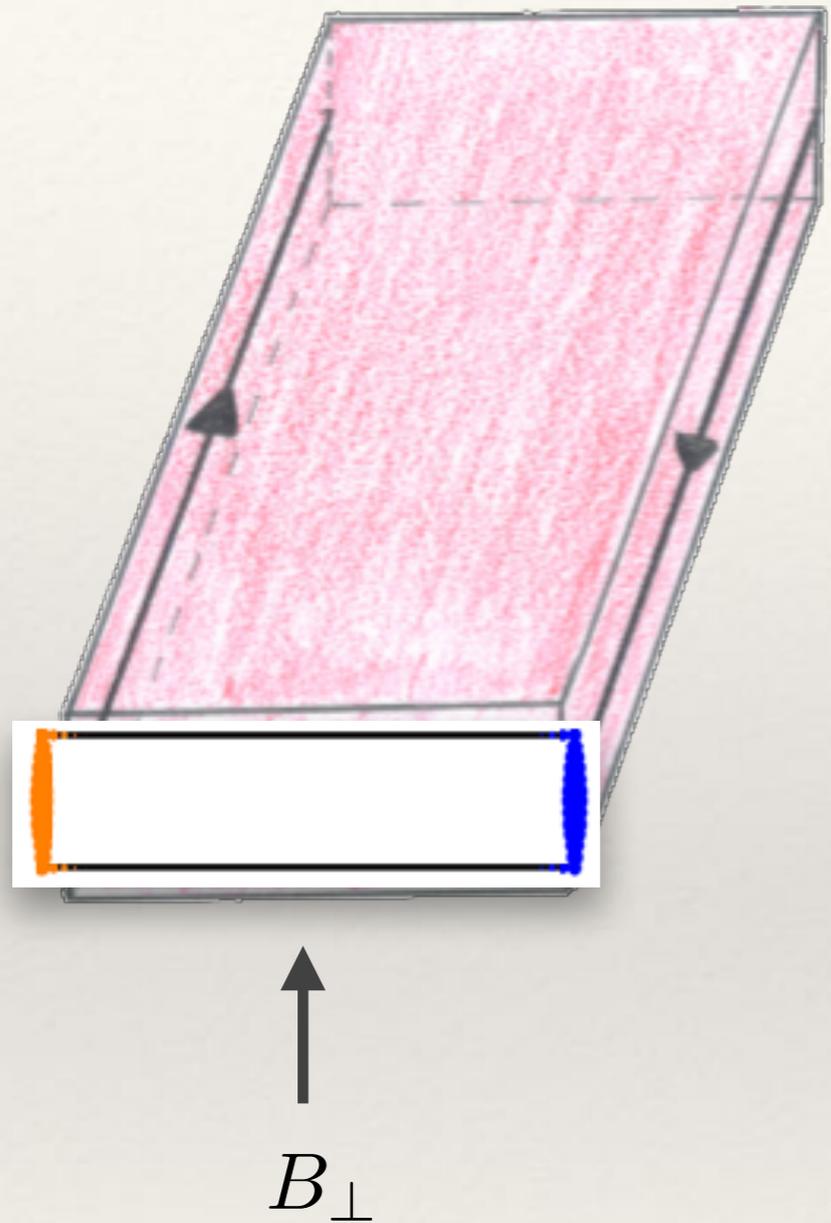
Chiral modes



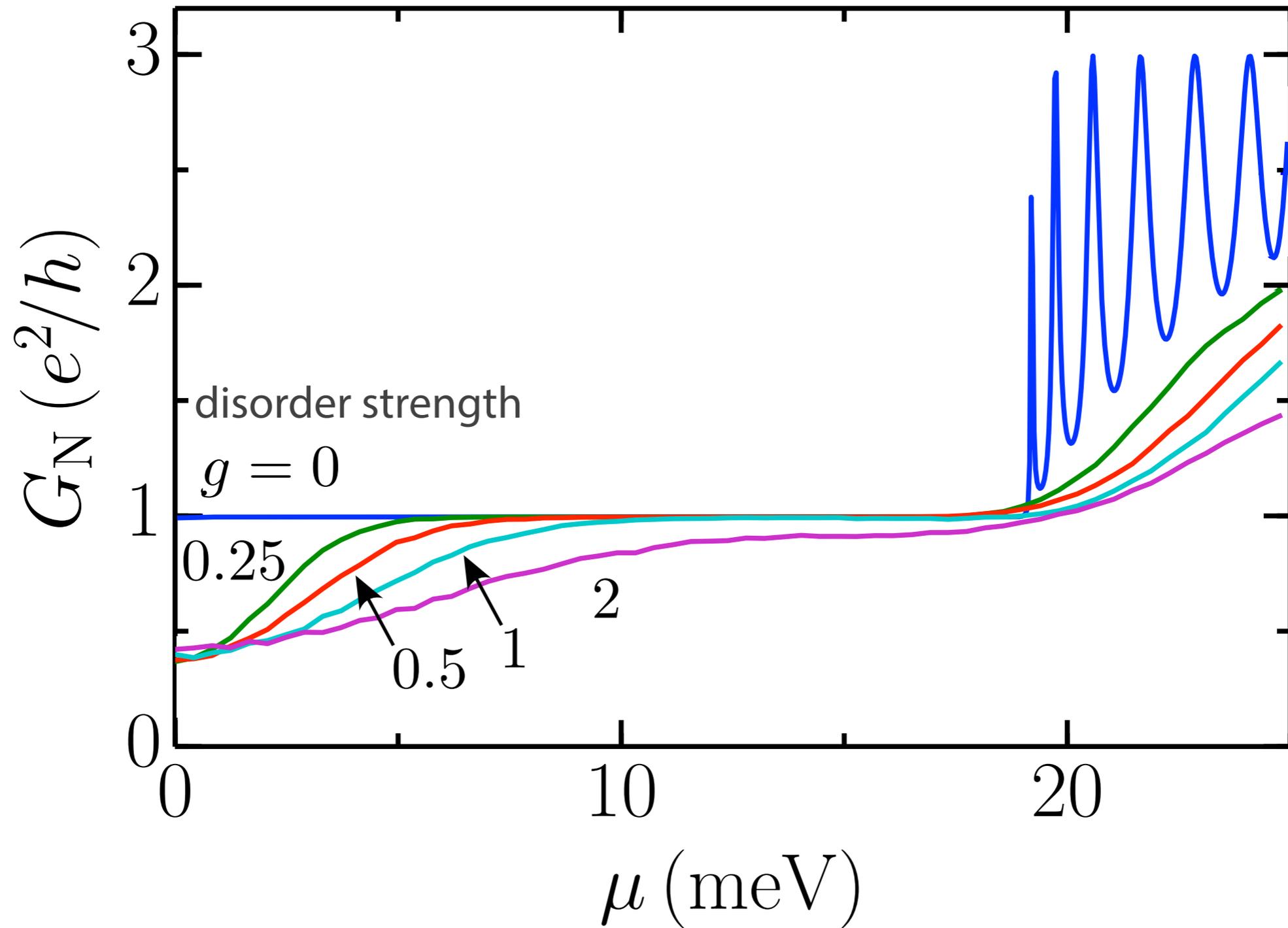
Majorana modes



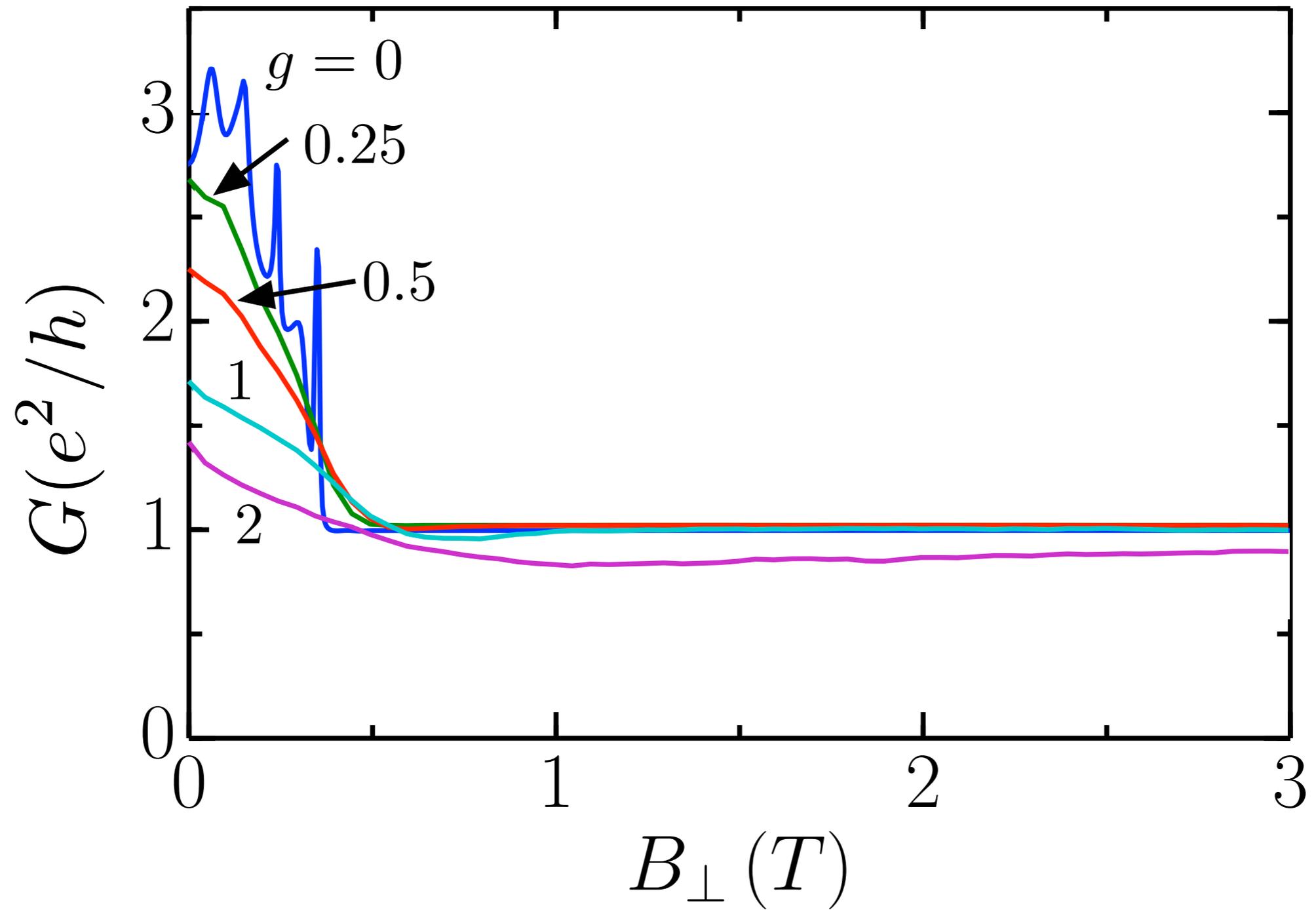
Going chiral...



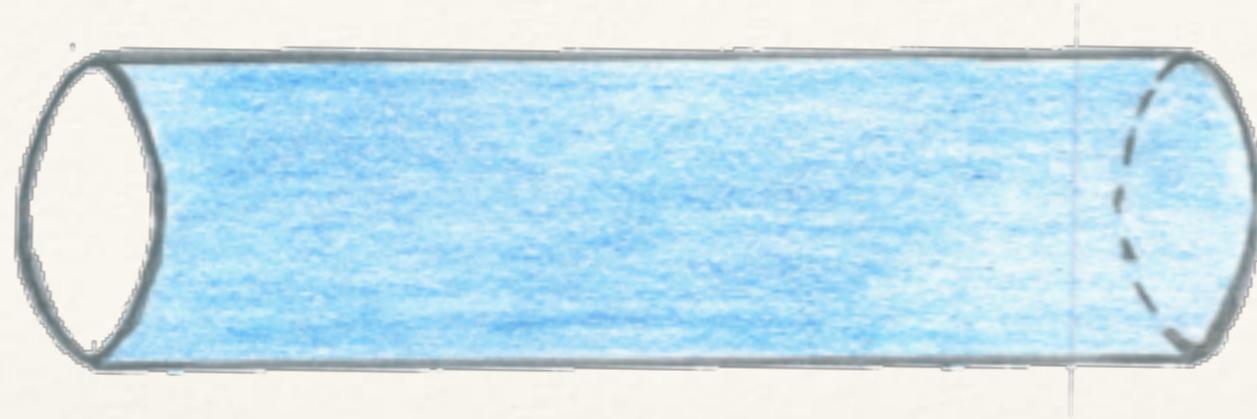
Conductance vs. chemical potential @ $B = 2T$



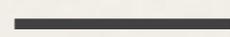
Conductance vs. magnetic field at $\mu = 10$ meV



Topological insulator nanowires host various interesting modes



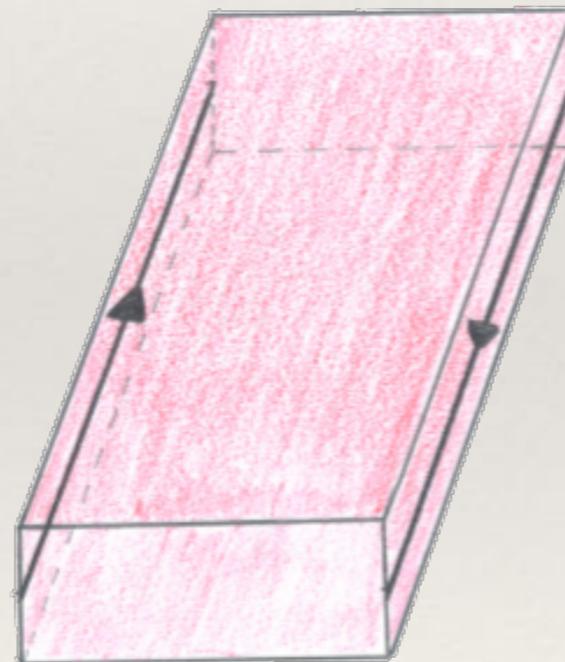
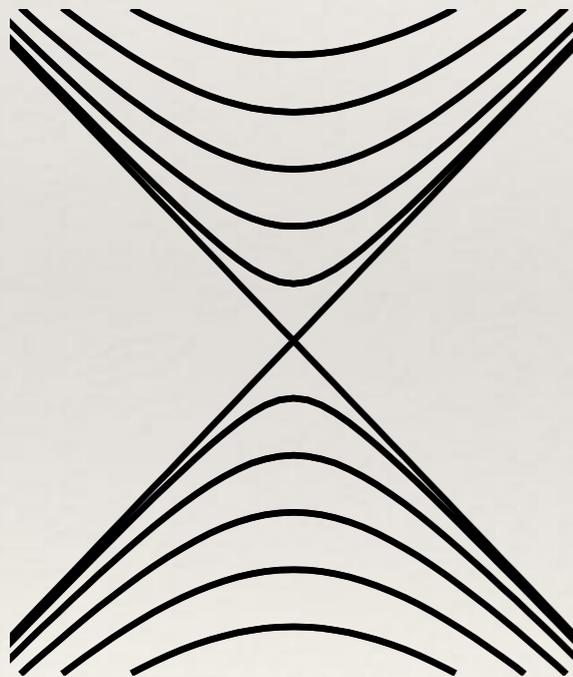
Perfectly transmitted mode



Chiral modes



Majorana modes

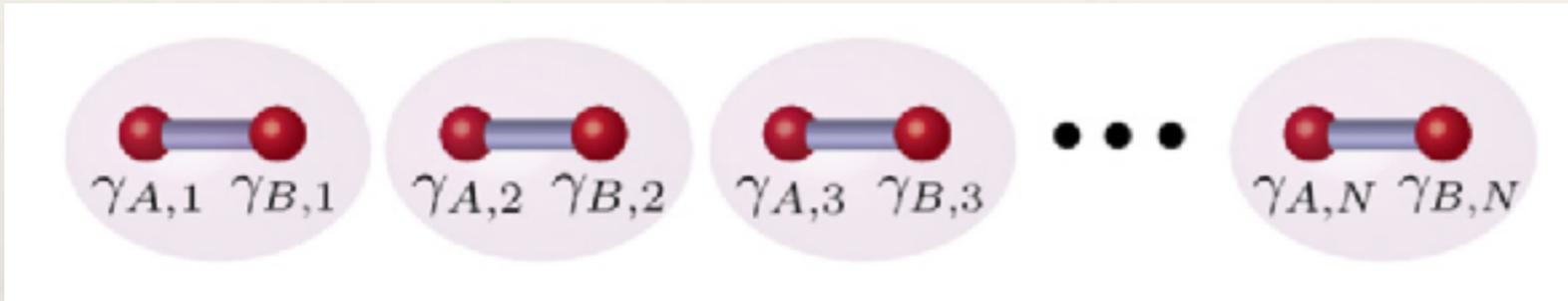


Kitaev chain

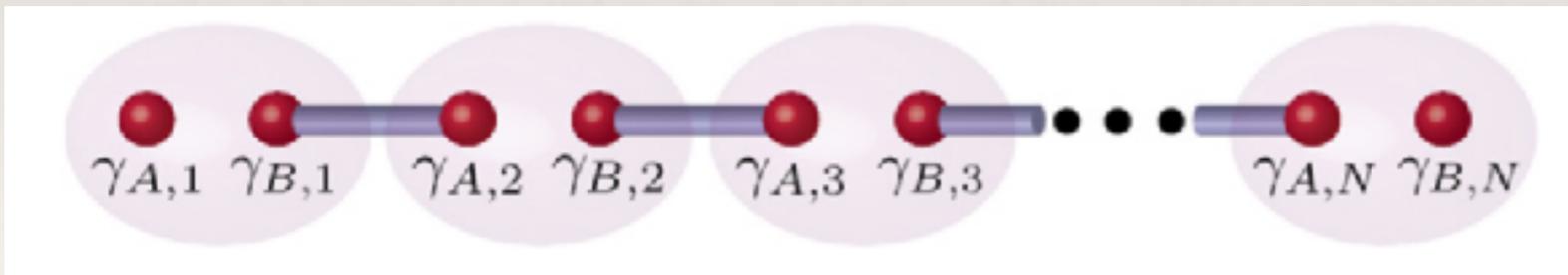
$$H = -\mu \sum_j c_j^\dagger c_j - \frac{1}{2} \sum_i (t c_i^\dagger c_{i+1} + \Delta e^{i\phi} c_i c_{i+1} + \text{H.c.})$$

$$c_j = \frac{e^{-i\phi/2}}{2} (\gamma_{B,j} + i\gamma_{A,j}) \quad \gamma_{\alpha,j} = \gamma_{\alpha,j}^\dagger \quad \{\gamma_{\alpha,j}, \gamma_{\alpha',j'}\} = 2\delta_{\alpha,\alpha'} \delta_{j,j'}$$

$$\mu < 0; t = \Delta = 0 \quad H = -\frac{\mu}{2} \sum_{j=1}^N (1 + i\gamma_{B,j} \gamma_{A,j})$$



$$\mu = 0; t = \Delta \neq 0 \quad H = -i\frac{t}{2} \sum_{j=1}^{N-1} \gamma_{B,j} \gamma_{A,j+1}$$

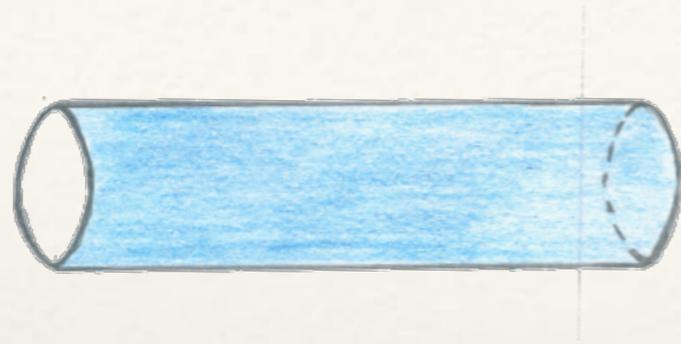


$$f = \frac{1}{2} (\gamma_{A,1} + i\gamma_{B,N})$$

$$f|0\rangle = 0 \quad f^\dagger|0\rangle$$

twofold degenerate
ground state

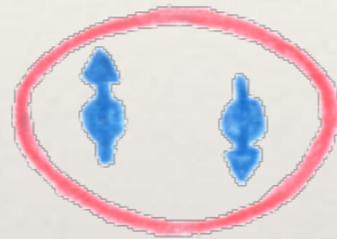
A recipe for a tunable topological superconductor



+

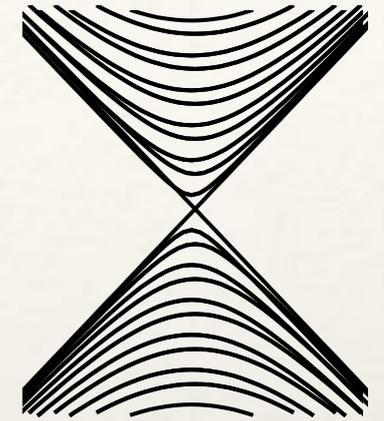
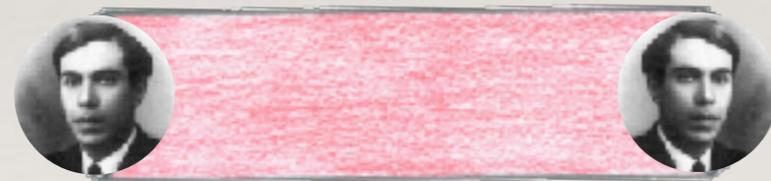
$$\phi = \frac{\phi_0}{2}$$

+

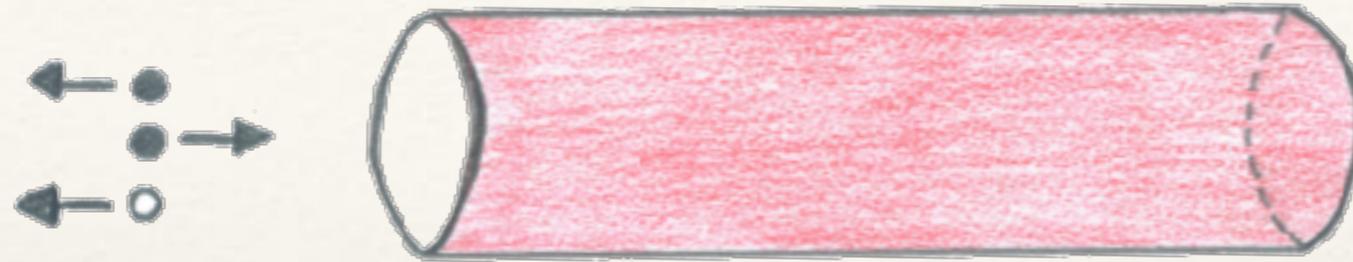


(proximity)

=



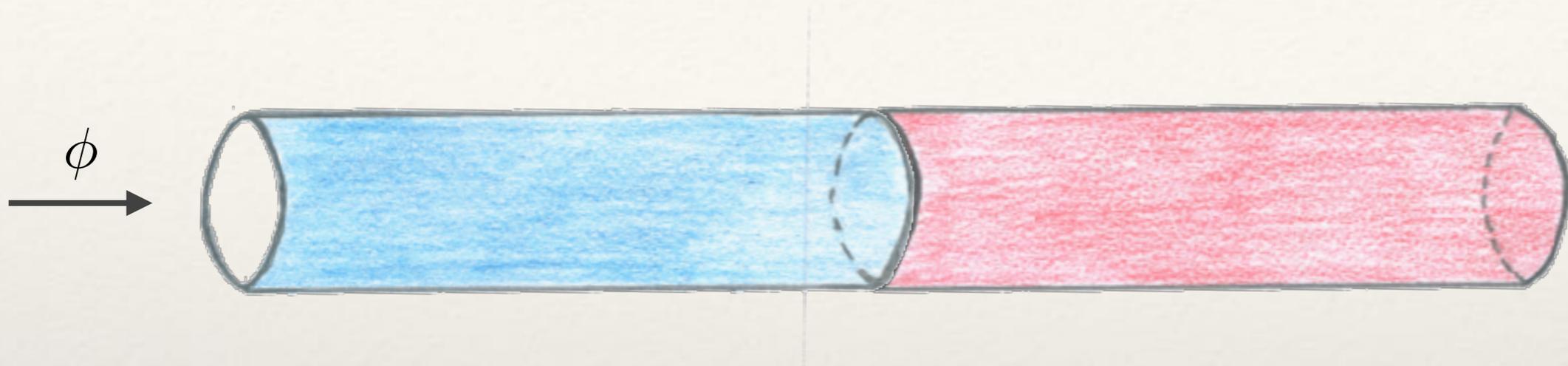
Detecting topological superconductivity via Béri degeneracy



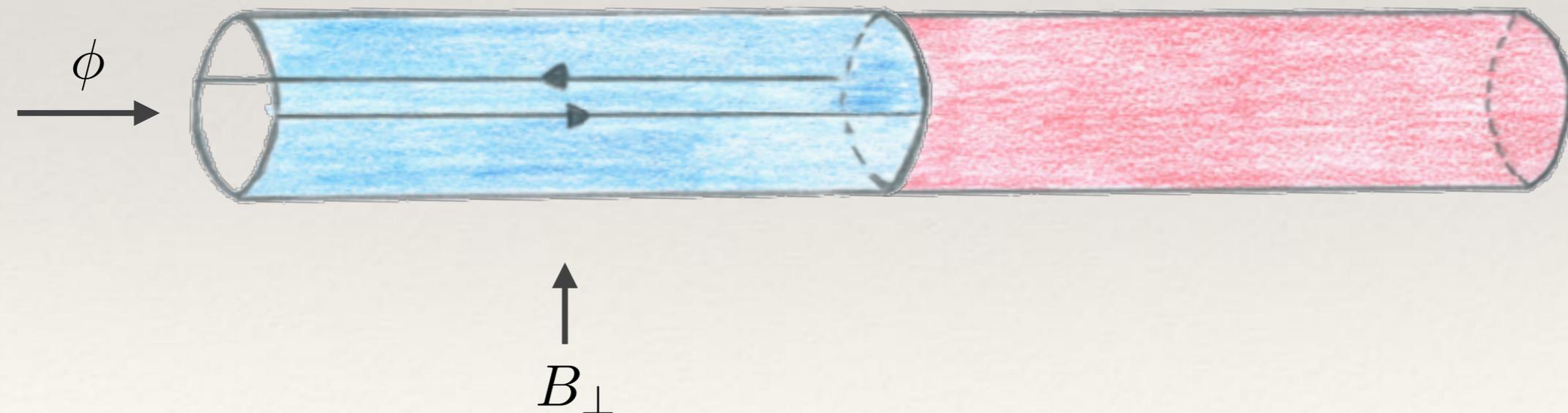
$$\begin{array}{l}
 \sigma_x H^* \sigma_x = -H \\
 r^\dagger r = 1
 \end{array}
 \Rightarrow_{N=1} r_{ee} r_{he}^* = 0 \Rightarrow \begin{cases} |r_{ee}| = 1 & G = 0 & \text{trivial} \\ |r_{he}| = 1 & G = \frac{2e^2}{h} & \text{topological} \end{cases}$$

Getting to the single mode regime

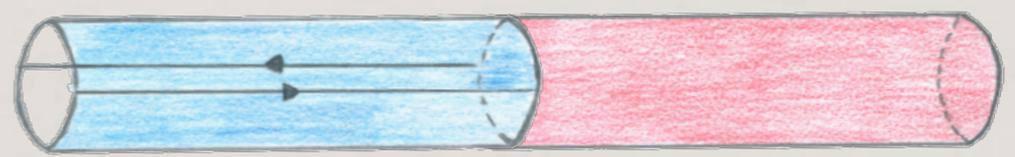
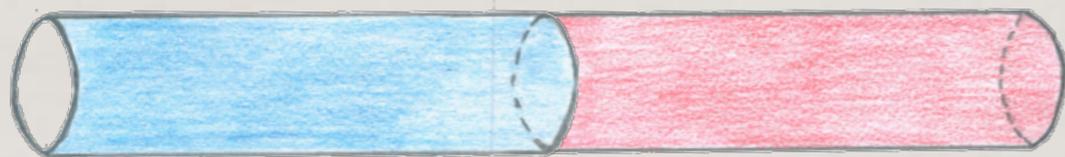
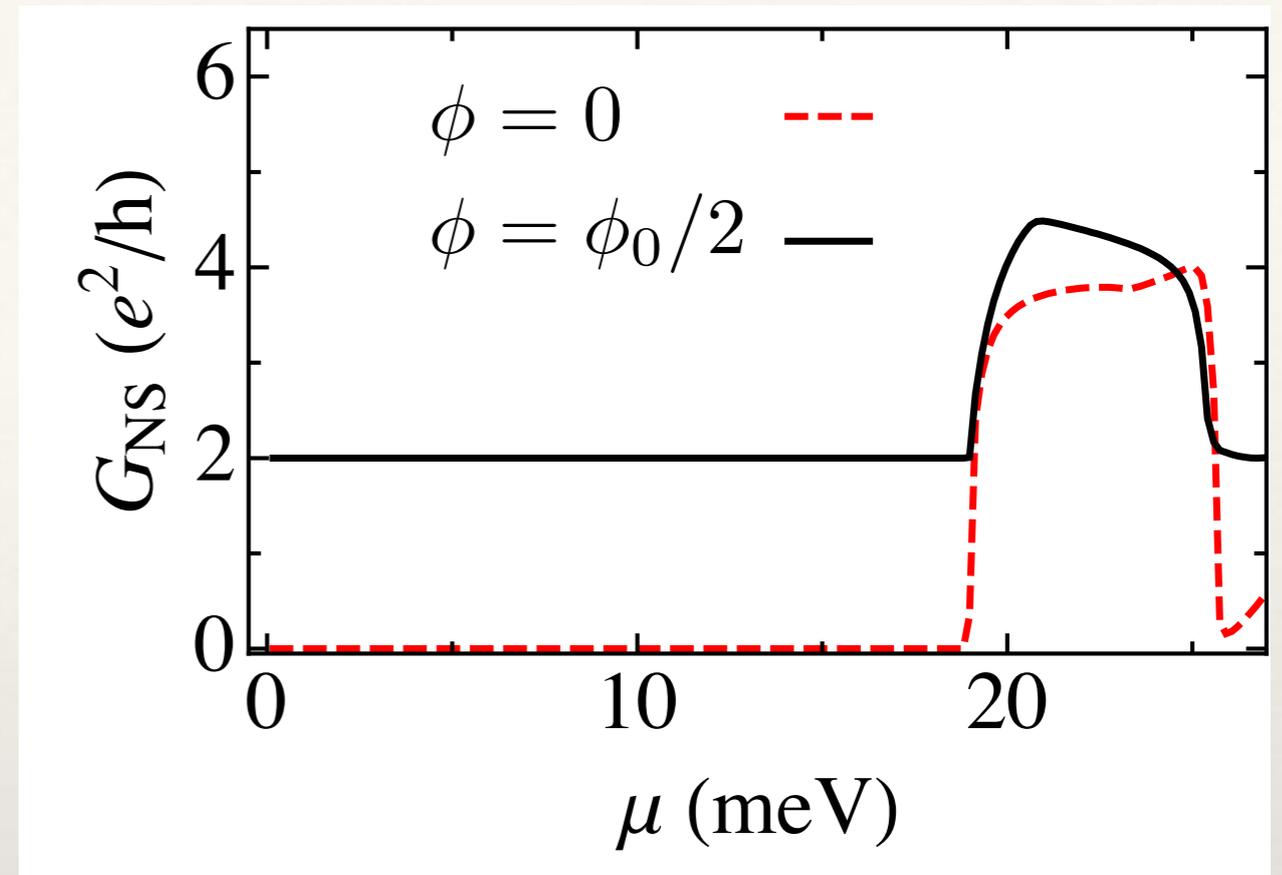
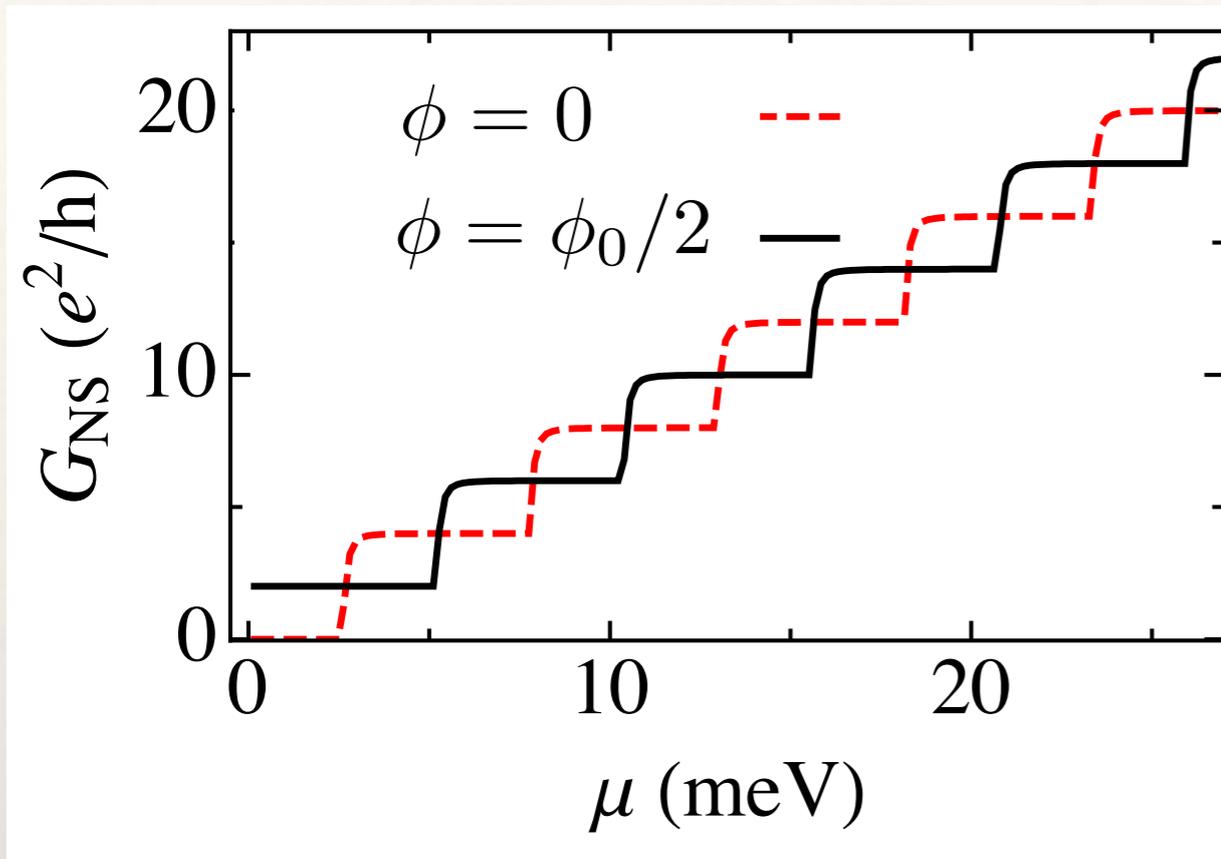
Perfectly transmitted mode



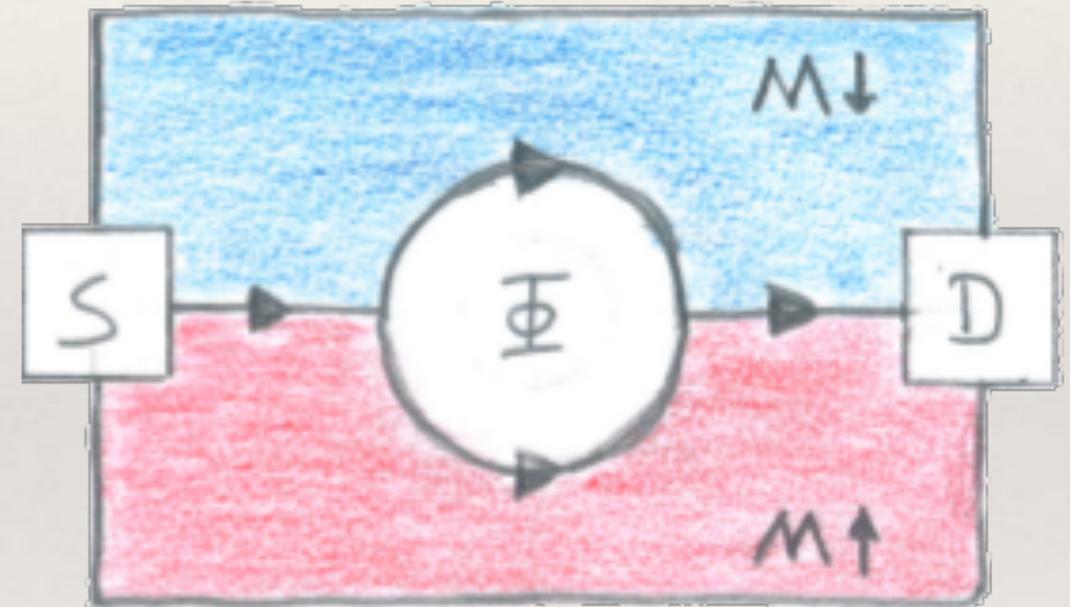
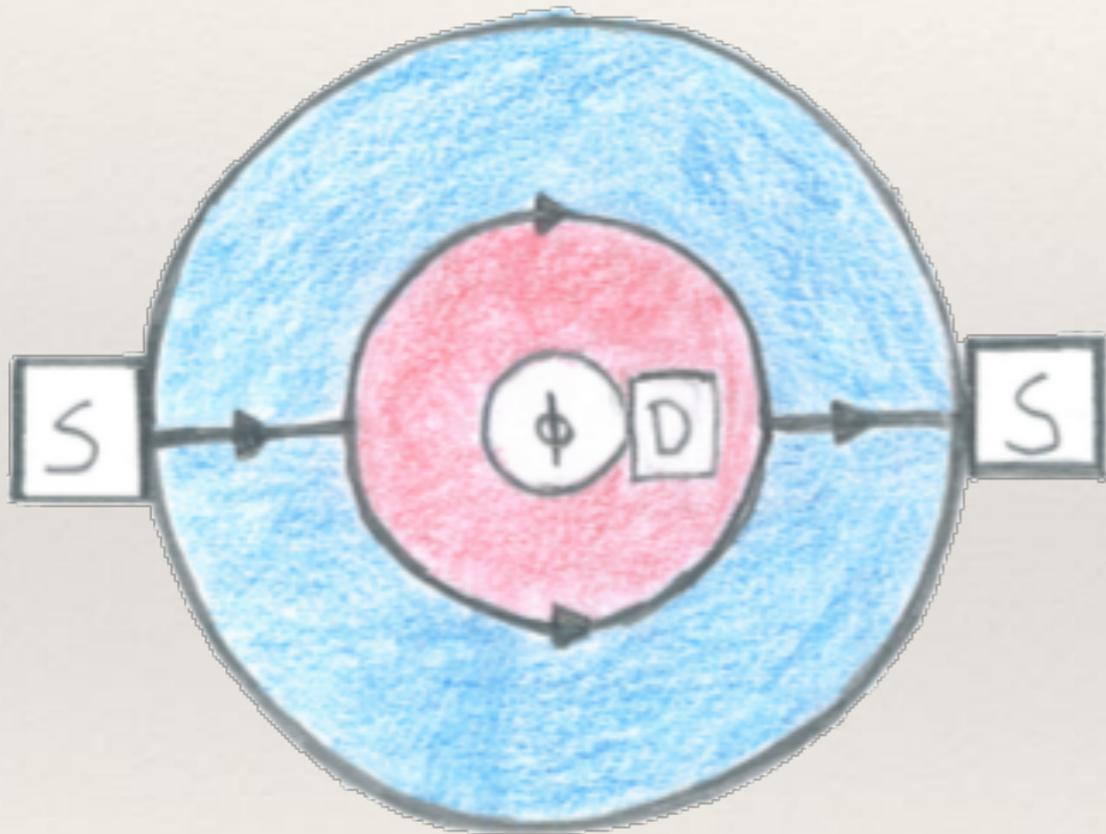
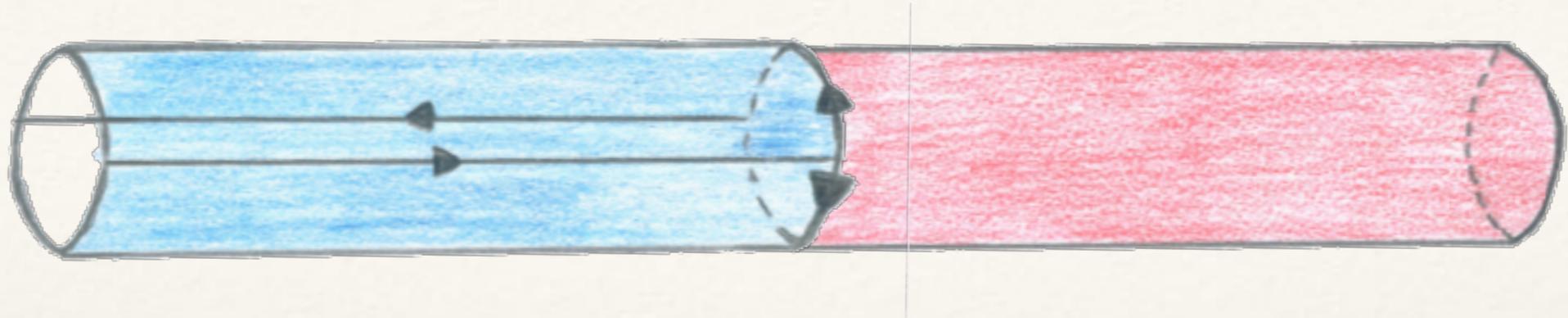
Chiral mode



NS Conductance vs. chemical potential



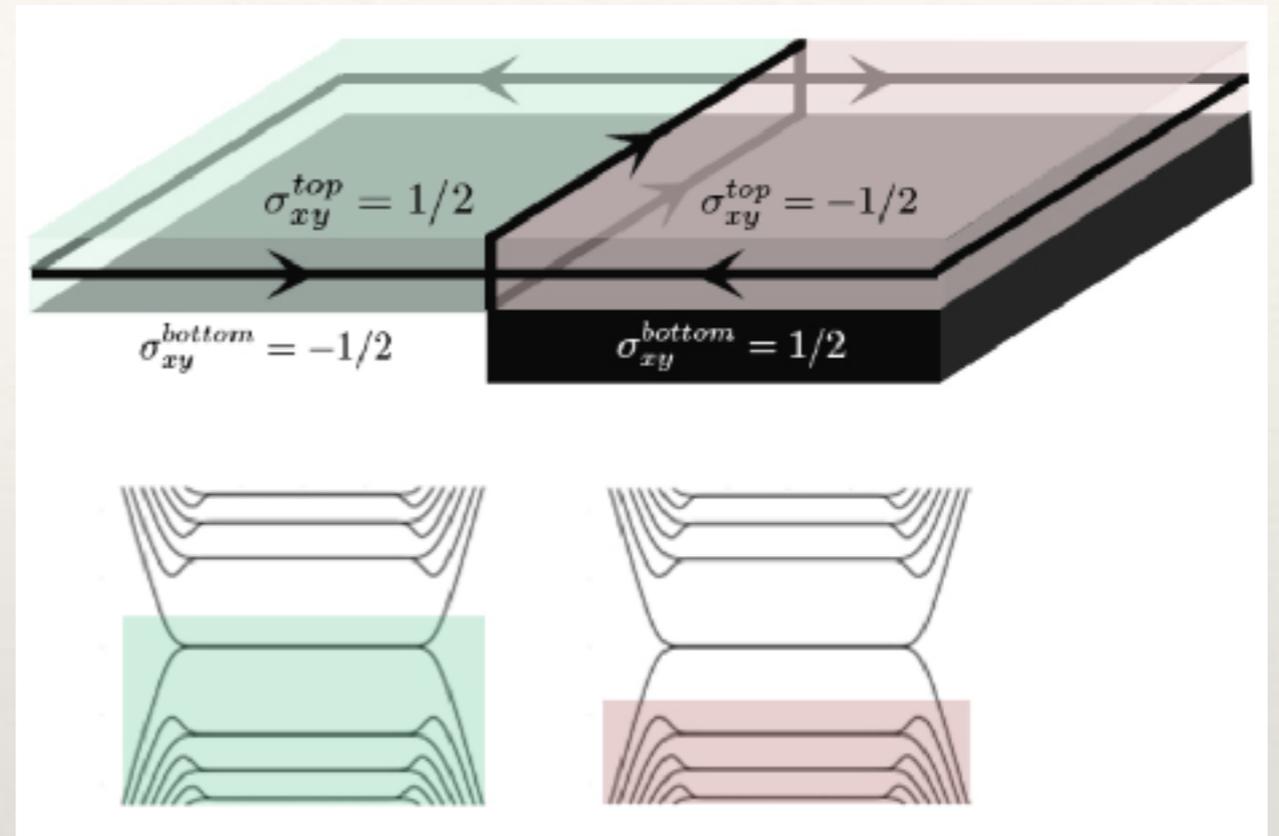
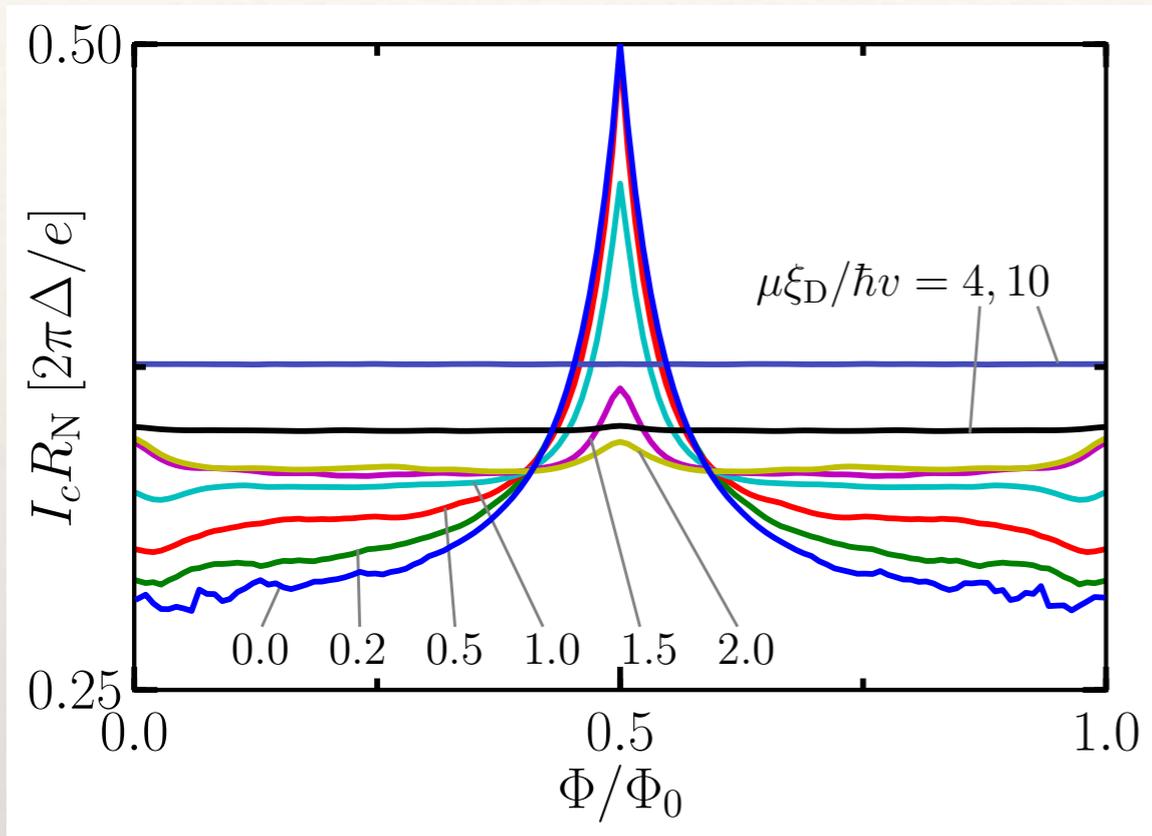
Majorana interferometer



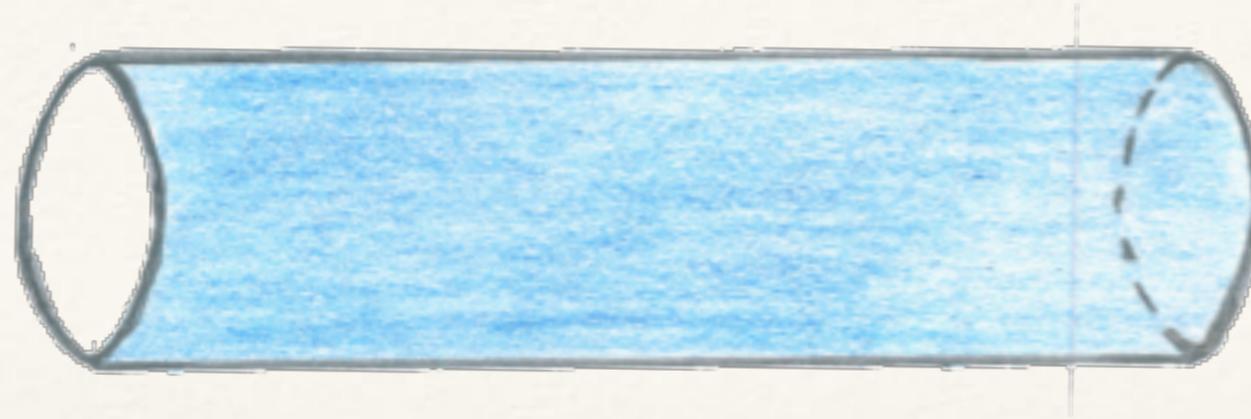
F. de Juan, R. Ilan, **JHB**, PRL 2014

Fu and Kane, PRL (2009), Akhmerov, Nilsson, and Beenakker PRL (2009)

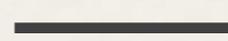
SNS and p-n junctions



Topological insulator nanowires host various interesting modes



Perfectly transmitted mode



Chiral modes



Majorana modes

